National Center for Emerging and Zoonotic Infectious Diseases



Summer 2022 NHSN CDA Vendor Meeting

Agenda

- Future Initiatives
- General NHSN Release Updates
- COVID-19 Module Updates
- Patient Safety Component (PSC) –
 Release 10.1.3
- Long Term Care Facility (LTCF)
 Component Release 10.1.5
- PSC Release 11.1
- Vendor IDM Updates
- CDA HAI Vocabulary

- Gender Variable Update
- AUR Module Updates
- Late Onset Sepsis & Meningitis
 Module (LOS/MEN)
- NHSN Pre-Production Test Site (NPPT)
- Miscellaneous
- Q&A

Future Initiatives

Andrea Benin

Future Initiatives

- Medication Safety Component Glycemic Control Hypoglycemia
 - Medication Safety Component Glycemic Control Hyperglycemia
- Revised C. difficile
- Hospital Onset Bacteremia
- Respiratory Pathogens Surveillance
- Venous Thromboembolism (VTE1 and VTE2)
 - Venous Thromboembolism (Expanded VTE Portfolio)
- Sepsis
- Non-Ventilator Associated Pneumonia

General NHSN Release Updates

Joseph Esquibel

NHSN Release Schedule Overview

- Continuing one major release a year
 - Changes included:
 - Protocol changes
 - Transition to new CDA versions due to protocol changes
 - Effective January 1st of each year
- Non-major releases
 - Occurring on a six-week basis as needed
 - May include:
 - New Component
 - Minor change requests
 - Defect resolutions
 - Infrastructure maintenance and support
 - Users notified via message alert when logging into NHSN

Upcoming NHSN Releases

- Release 10.1.4
 - Scheduled for July 30, 2022
 - Defect fixes will be effective post deployment
 - Change Requests (CRs) will be effective July 31, 2022

- Release 10.1.5
 - Scheduled for September 8, 2022
 - Defect fixes will be effective post deployment
 - CRs will be effective September 9, 2022

Upcoming NHSN Releases (continued)

- Release 11.0
 - Scheduled for October 20, 2022
 - Defect fixes will be effective post deployment
 - CRs will be effective October 21, 2022

- Release 11.1
 - Scheduled for December 10, 2022
 - Defect fixes will be effective post deployment
 - CRs will be effective January 1, 2023

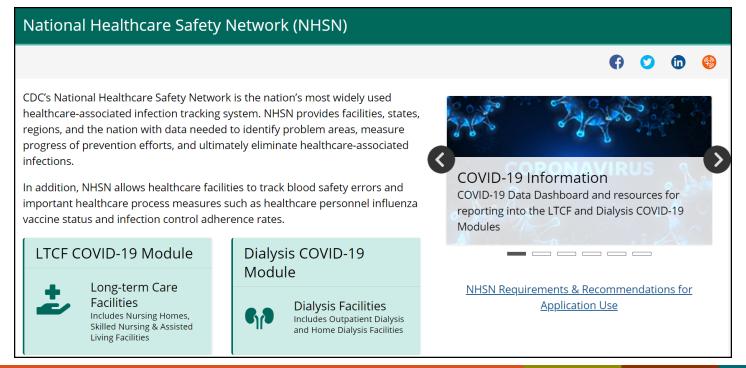


COVID-19 Module Updates

Sylvia Shuler

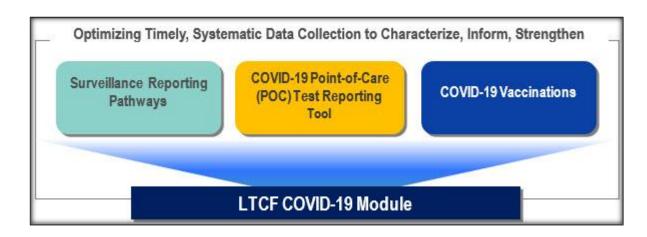
COVID-19 Module Updates – LTC, HPS & Dialysis

- Long-Term Care & Dialysis facilities are using NHSN to report COVID-19 data
- COVID-19 Vaccination data is being reported in the Long-Term Care Component, Healthcare Personnel Safety Component and Dialysis Component



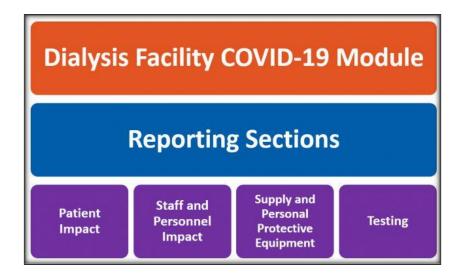
COVID-19 Module: Long Term Care Facilities

- The following pathways are being reported into NHSN for LTCF:
 - Resident Impact and Facility Capacity
 - Staff and Personnel Impact
 - Therapeutics
 - Vaccinations
 - Point of Care (POC) Testing



COVID-19 Module: Dialysis

- Single data entry page with four sections:
 - Patient Impact
 - Staff and Personnel Impact
 - Supply and Personal Protective Equipment
 - Lab Testing



COVID-19 Vaccination status for patients and staff

COVID-19 Module Data Submission

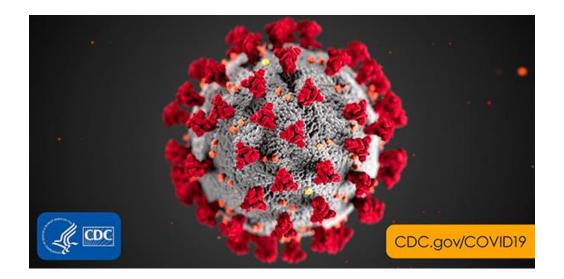
- Direct CSV Submission is now available for Long Term Care and Dialysis Pathways, POC Testing, and Vaccination uploads - for LTC, Dial, HPS Components
- The following are acceptable file formats:
 - CSV
 - HL7 2.5.1
- Instructions on how to sign up and use this method is available on the NHSN website: https://www.cdc.gov/nhsn/cdaportal/importingdata.html#DIRECTProtocol

Technical Guides

- <u>Technical User Guide for DIRECT CDA Automation</u> [PDF 300 KB]
 This is a quick reference guide for CDA vendors or facility's IT technical staff.
- Admin User Guide for DIRECT CDA Automation [PDF 150 KB]
 This is a quick reference guide for NHSN Admins who want to sign-up a facility to have the capability to send data to NHSN without logging into the NHSN application.

COVID-19 Module Training

- For both modules, training videos are available for each component
- Please visit the NHSN website to find current CSV templates and other information for both LTCF and Dialysis components
 - https://www.cdc.gov/nhsn/covid19/index.html
- Questions can be sent to: <u>NHSN@CDC.GOV</u>



Patient Safety Component – Release 10.1.3

Sylvia Shuler

Patient Safety – Release 10.1.3 Updates

- Defect 10205 Correct the antibiogram for AntiB2B_PS/CEFEP; manual
 CDA & Direct impact Resolved
 - The susceptibilities for AntiB2B_PS/CEFEP for Events in 2022 now show as S I/S-DD R N

Long Term Care Facility Component – Release 10.1.5

Sylvia Shuler

LTCF Change Request Planned for Release 10.1.5

Release 10.1.5 (September 2022)

- We will begin to build the ability to accept CDAs for LabID Events (CDI and MDROs) over the next several months
- More details to come once this initiative gets underway

Patient Safety Component – Release 11.1

Hamna Baig

PS Change Requests Planned for Release 11.1

Release 11.1 (December 2022)

- CR 3680 PedVAE updates to antimicrobial list within NHSN application
- CR 3700 Update to antimicrobial list within the VAE calculator
- CR 3702 Specific Event-Criteria BRST '3' removed as a selection for SSI-BRST entry
- CR 3713 2023 Pathogen Codes Update (All Components)
- CR 3712 Remove CLIP from Patient Safety Component Monthly Reporting Plan
- CR 2725 Gender Identity and Sex at Birth (All Components)

Vendor IDM Updates

Joseph Esquibel

Vendor IDM

- The vendor IDM for Release 10.1 has been posted to the Toolkits Webpage as a version 1
- Remove "other signs and symptoms" as an option for Conjunctivitis (CONJ)
 Specific Criteria update to the vendor IDM is forthcoming

	Α	В	С	D	E	F
	Planned	Defect	Event	Criteria combination	Specific Event	Pathway
1	Version	/CR	Туре		Result	
77	77 CONJ					
	10.1		EENT	((SS_pain and/or SS_redness and/or SS_otherSS)) and ((lab_positive and/or SS_purdrain and/or	CONJ	1
78				lab_othPos))		

Remove Specific Event-Criteria BRST '3'(CR3702) for SSI-BRST- Release 11.x

	Α	В	С	D	Е	F
	Planned	Defect	Event	Criteria combination	Specific Event	Pathway
1	Version	/CR	Туре		Result	
59	BRST					
60			SSI, SST	lab_positive	BRST	1
61			SSI, SST	SS_othInf or SS_abscess	BRST	2
62	11.1.0	3702	SSI, SST	SS_fever and SS_locSwell and diag_ther	BRST	3

We will notify vendors when the final version has been posted

CDA HAI Vocabulary

Joseph Esquibel

CDA HAI Vocabulary

- There will be Pathogen Code updates for the January 2023 11.1 release
- Reminder: Value sets specified in CDA Implementation Guides that have been distributed in the spreadsheet hai_voc.xlsx are now available in VSAC (Value Set Authority Center) https://vsac.nlm.nih.gov/

Gender Variable Update

Henrietta Smith & Joseph Esquibel

New variable fields added

- Birth Sex
- Gender Identity

Value sets in use in the CDA IG (the templates used are C-CDA templates).

IG Timeline

May	Balloting complete, and updates made to the IG	
June 20 – 24	Anticipate HL7 Structured Documents Work Group approval for publication	
June 27 – July 1	Anticipate CDA Management Group approval	
July 2 – 15	Anticipate HL7 Technical Steering Committee approval	
Mid to end July	IG fully published	

Birth Sex – Captures sex assigned at birth

- Must select from:
 - Male
 - Female
 - Unknown

Birth Sex

- VSAC link to value set: https://vsac.nlm.nih.gov/valueset/2.16.840.1.113762.1.4.1/expansion
 - Note that UNK is also allowed but not included in that value set as it's just the one nullFlavor value):
 - 6. SHALL contain exactly one [1..1] value with @xsi:type="CD", where the code SHALL be selected from ValueSet ONC Administrative Sex urn:oid:2.16.840.1.113762.1.4.1 STATIC 2016-06-01 (CONF:3250-32947).
 - a. If value/@code not from value set ONC Administrative Sex urn:oid:2.16.840.1.113762.1.4.1 STATIC 2016-06-01, then value/@nullFlavor SHALL be "UNK" (CONF:3250-32948).

Table 173: ONC Administrative Sex

Value Set: ONC Administrative Sex urn:oid:2.16.840.1.113762.1.4.1

(Clinical Focus: Gender identity restricted to only Male and Female used in administrative situations requiring a restriction to these two categories.),(Data Element Scope: Gender),(Inclusion Criteria: Male and Female only.),(Exclusion Criteria: Any gender identity that is not male or female.)

This value set was imported on 10/17/2019 with a version of 20190425.

Value Set Source: https://vsac.nlm.nih.gov/valueset/2.16.840.1.113762.1.4.1/expansion

Code	Code System	Code System OID	Print Name
F	Administrative Gender	urn:oid:2.16.840.1.113883.5.1	Female
M	Administrative Gender	urn:oid:2.16.840.1.113883.5.1	Male

Gender Identity – Captures patient reported gender

- Select :
 - Male
 - Female
 - Female-to-male transgender
 - Male-to-female transgender
 - Identifies as non-conforming
 - Other
 - Asked but unknown

Gender Identity

- VSAC link to value sets:
 - https://vsac.nlm.nih.gov/valueset/2.16.840.1.113762.1.4.1021.101/expansion
 - https://vsac.nlm.nih.gov/valueset/2.16.840.1.113762.1.4.1114.17/expansion
 (in this case they have created a separate value set for the two allowed
 nullFlavor codes)
 - SHALL contain exactly one [1..1] value with @xsi:type="CD", where the code SHALL be selected from ValueSet Gender Identity USCDI core urn:oid:2.16.840.1.113762.1.4.1021.101 DYNAMIC (CONF:4515-1223).

To represent additional Gender Identities, set nullFlavor="OTH". To represent "choose not to disclose", set nullFlavor="ASKU".

a. This value MAY contain zero or one [0..1] @nullFlavor, which SHOULD be selected from ValueSet <u>Asked but Unknown and Other</u> urn:oid:2.16.840.1.113762.1.4.1114.17 DYNAMIC (CONF:4515-1232).

Gender Identity – Value set

+

Table 242: Gender Identity USCDI core

Value Set: Gender Identity USCDI core urn:oid:2.16.840.1.113762.1.4.1021.101

(Clinical Focus: Concepts that are used to describe a person's socially acknowledged gender that are used, at a minimum, in the USA. This is the gender they identify as. These are not concepts used to describe a person's sexual orientation (who they are attracted to).),(Data Element Scope: gender identity),(Inclusion Criteria: Concepts that can represent a type of gender that as used in the USA. This is not restricted to male and female.),(Exclusion Criteria: Concepts that are improper to use in the USA for gender identity. Concepts used to describe a person's sexual orientation. Concepts that are used to represent when data is absent or not represented in the provided list.)

This value set was imported on 3/16/2022 with a version of Latest.

Value Set Source:

https://vsac.nlm.nih.gov/valueset/2.16.840.1.113762.1.4.1021.101/expansion

Code	Code System	Code System OID	Print Name
407376001	SNOMED CT	urn:oid:2.16.840.1.113883.6.96	Male-to-female transsexual (finding)
407377005	SNOMED CT	urn:oid:2.16.840.1.113883.6.96	Female-to-male transsexual (finding)
44613100012 4102	SNOMED CT	urn:oid:2.16.840.1.113883.6.96	Identifies as non- conforming gender (finding)
44614100012 4107	SNOMED CT	urn:oid:2.16.840.1.113883.6.96	Identifies as female gender (finding)
44615100012 4109	SNOMED CT	urn:oid:2.16.840.1.113883.6.96	Identifies as male gender (finding)

Gender Identity – Value set (continued)

Table 243: Asked but Unknown and Other

Value Set: Asked but Unknown and Other urn:oid:2.16.840.1.113762.1.4.1114.17

(Clinical Focus: Data absent reasons specific for representing only asked but unknown and other),(Data Element Scope: any data representation that supports inclusion of data absent reasons),(Inclusion Criteria: Asked but no answer known and Other meant to mean data not available for selection),(Exclusion Criteria: all other codes)

This value set was imported on 3/16/2022 with a version of Latest.

Value Set Source:

https://vsac.nlm.nih.gov/valueset/2.16.840.1.113762.1.4.1114.17/expansion

Code	Code System	Code System OID	Print Name
ASKU	HL7NullFlavor	urn:oid:2.16.840.1.113883.5.10 08	asked but unknown
ОТН	HL7NullFlavor	urn:oid:2.16.840.1.113883.5.10 08	other

Timeline for implementation within the application

Jan 1, 2023

- Optional reporting
- Reporting only via manual entry and .csv import

Jan 1, 2024

- Required reporting
- CDA upload available for reporting

Questions?

Please send questions and feedback to us: nhsn@cdc.gov.

AUR Module Updates: Previous Application Updates

Malissa Mojica

AUR Updates already in NHSN

- AR Summary files are required for Outpatient Locations (ED, pedED, 24hr obs)
- 2022 AU data requires use of RxNorm codes only
- Two new COVID drugs are required for AU Reporting beginning in March 2022
- AUR data can be reported from Overflow location types

AR Summary Files for Outpatient Locations

- Beginning with January 2021, facilities should report <u>AR Option summary</u> records for their outpatient locations (ED, pediatric ED, and 24-hour observation area) as applicable.
 - Total number of patient encounters for each location/month
 - Initially released summer of 2021 and backdated to apply for January 2021 forward
- Lots of facility confusion over where to find these files within their vendor system

AR Summary Files for Outpatient Locations (cont.)

- We would greatly appreciate you sharing the following information with your client facilities:
 - 1. Does your software <u>currently</u> generate 2022 AR Option Summary files for ED, pediatric ED, and 24-hour observation locations? If yes, where are they located?
 - 2. Is your software able to <u>retrospectively</u> generate 2021 AR Option Summary files for ED, pediatric ED, and 24-hour observation locations? If yes, where are they located?
 - 3. If your software is <u>not</u> currently able to generate AR Option Summary files, is this planned for a future update of your software? If so, when can your client facilities expect to see these new files?
- If these files are <u>not</u> available within your vendor system, please instruct your client facilities to edit their Monthly Reporting Plans to remove the check box in the AR Option column on the rows for the outpatient locations.

2022 AU Data Requires Use of RxNorm Codes Only

- Beginning with January 2022 AU data, all drugs must be reported using RxNorm codes.
- Eight drugs previously used NHSN local codes and were updated to use RxNorm codes.
- As a reminder!
 - NHSN uses the ingredient (IN) level RxNorm codes for AU Option submission.
 - Please review your facilities' data feeds to ensure use reported with a more granular RxNorm code term type (e.g., SCDC, SCD/GPCK, etc.) is rolled up and reported to NHSN using the ingredient level code.

2022 AU Data Requires Use of RxNorm Codes Only (cont.)

■ The List of Antimicrobial Agents Eligible for AUR Module — April 2022 displays the RxNorm codes in column B of the spreadsheet:

A	В	С	D	E	F	G	н	1
Antimicrobial Agent	Value ^a	NHSN Drug Code	Antimicrobial Category	Antimicrobial Class ^b	Antimicrobial Subclass ^b	First Reported ^c	Last Reported	Notes:
92 RIMANTADINE	9386	RIMAN	Anti-influenza	M2 ion channel inhibitors		Jan-10		
93 SULFAMETHOXAZOLE/TRIMETHOPRIM	10831	SULFAET	Antibacterial	Folate pathway inhibitors		Jan-10		
94 SULFISOXAZOLE	10207	SULFI	Antibacterial	Folate pathway inhibitors		Jan-10	Dec-20	
95 TEDIZOLID	1540825	TEDIZ		Oxazolidinones		Jun-14	afficient.	First reported date is the date of FDA Approval
QE TO AT Y			and the state of the state of	tide		lar		
/UŽ 1 OBRAMYC	10627	_ dRA	Antibacteriar	Aminogiycosides	Ì	 Jan-⊥	_	
103 VANCOMYCIN	11124	VANC	Antibacterial	Glycopeptides	Glycopeptide	Jan-10		
104 VORICONAZOLE	121243	VORI	Antifungal	Azoles		Jan-10		
105 ZANAMIVIR	69722	ZANAM	Anti-influenza	Neuraminidase inhibitors		Jan-10		
106								
107 ^a RxNorm								
108 ^b Adapted from CLSI M100								
CTh - 411 Oution								

AU COVID Drugs

- Two COVID antiviral agents, molnupiravir and nirmatrelvir, added to the AU Option in March 2022.
 - Required in the AU Option files beginning in March 2022; files will fail to upload into NHSN if they do not include these two drugs.
 - Facilities can optionally include the two drugs in AU files for January and February 2022
 - Drug variables included in the Eligible Agents spreadsheet and/or IDM

Overflow Location Types

- Facilities can now report data for Overflow Location types (including AUR)
 - Reminder: facilities reporting data from these locations should also include them in the FacWideIN counts

	NHSN Healthcare		
	Service Location		
CDC Location Label	code	CDC Location Code	Location Description
Onsite Overflow Ward	1271-6	IN:ACUTE:WARD:OF_ONSITE	Area previously used for non-patient
			care which has been repurposed to
			care for non-critically ill or injured
			patients
Onsite Overflow Critical	1272-4	IN:ACUTE:CC:OF_ONSITE	Area previously used for non-patient
Care			care which has been repurposed to
			care for critically ill or injured patients

AUR Module Updates: Fall Releases

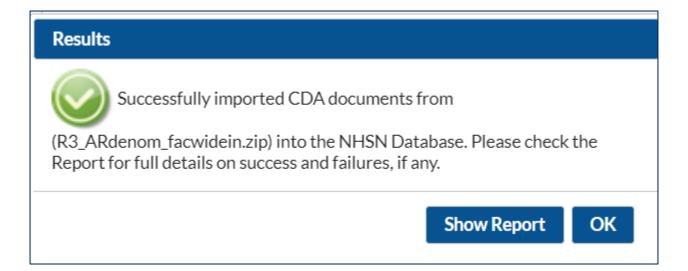
Amy Webb

CR 3604 – Update message displayed after manual CDA upload

After manually uploading CDA files, NHSN generates a message

Message is the same regardless of how many files were successfully

uploaded:



Plan to update the message to make it clearer when not all files passed

AR Option Benchmark Metrics

Will use 2019 as the baseline year

Standardized Resistant Infection Ratio (SRIR) for prioritized resistant phenotypes:

```
# Observed Resistant isolates
# Predicted Resistant isolates
```

Pathogen-specific Standardized Infection Ratio (pSIR) for culture-positive infections:

```
# Observed isolates of specific pathogen
# Predicted isolates of specific pathogen
```

Target drug-resistant phenotypes to generate SRIR

- Methicillin-resistant S. aureus (MRSA)*
- Multidrug resistant (MDR) Pseudomonas aeruginosa*
- Vancomycin-resistant Enterococci (VRE)**
- Carbapenem-resistant E. coli, Klebsiella, and Enterobacter (CRE)*
- Fluoroquinolone-resistant Pseudomonas aeruginosa***
- Fluoroquinolone-resistant E. coli, Klebsiella, and Enterobacter
- Extended-spectrum cephalosporin-resistant *E. coli, Klebsiella*, and *Enterobacter*

- *Definitions are here: https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/aur/ar-phenotype-definitions-508.pdf
- **Any Enterococci that has tested Resistant (R) to vancomycin
- ***Pseudomonas aeruginosa that has tested Resistant (R) to ciprofloxacin or levofloxacin

Pathogens to generate pathogen specific-SIR

- S. aureus
- Pseudomonas aeruginosa
- Enterococcus
- Enterobacterales (E. coli, Klebsiella, and Enterobacter)

AUR Module Updates: December Release – 11.1

Laura Blum & Amy Webb

CR 3219 – Update AR Option specimen codes

- Revise list of accepted AR Option specimen source codes to bring them up to date with current standards (Specimen Source tab in IDM)
 - 60+ additions, mostly to LRT
 - 20 removals, mostly from urine and blood
 - <5 display name discrepancies between IDM and Value Set Authority Center (VSAC)
 - Human-readable changes only will not affect what's accepted by NHSN

CR 3519 – 2023 AR Option pathogen updates

- Updates to AR Option Pathogen Roll-up workbook in AR CDA Toolkit
 - Additions for new, more specific codes
 - Removal of inactive/irrelevant codes
 - Revise descriptors to align with SNOMED description
- No changes to what's accepted by NHSN

CR 3714 – Update the AR Drug Susceptibility Test value set display name for imipenem

- Update display name for code IMIPWC (LOINC code: 18932-4) from "Imipenem with Cilastatin" to "Imipenem"
 - Human-readable change only will not affect what's accepted by NHSN
- Change reflects true susceptibility test completed by labs and aligns with LOINC description
 - Cilastatin is not an antimicrobial; it enhances the effects of imipenem when administered together in certain situations
 - Cilastatin is not included in susceptibility tests for imipenem

CR 3626 – AU Option Drug Validation Updates

- As of 6/29 no drug additions or removals
- Plan to update NHSN's validation of drugs included in the file
 - No longer accept a range of drugs
 - All drugs in the IDM (Antimicrobial Ingredients tab) will be required to be included for calendar year 2023 and forward

	Α	В	С	D	E	F	G	
1	valueSetNar	me="NHS	NAntimicrobialAge	entAURPCode"	valueSetOid="2.16.840.1.114222.4.11.3360	binding="DYNAMIC"		
2	Store the va	lue in "Co	de" in the AREven	t.arDrug field.	Create a map between value and code.			Γ
	Planned	Defect					Valueset	I
3	Version 🖵	/CR 🖵	Value -	Code -	displayName ▼	codeSystem -	AURPH -	
4			620	AMAN	AMAN - Amantadine	2.16.840.1.113883.6.88	X	
5	9.2	0.2 2003 641 AMK AMK - Amikacin 2.1				2.16.840.1.113883.6.88	X	
6			723	AMOX	AMOX - Amoxicillin	2.16.840.1.113883.6.88	X	Ī
7			19711	AMOXWC	AMOXWC - Amoxicillin with Clavulanate	2.16.840.1.113883.6.88	Х	
8			733	AMP	AMP - Ampicillin	2.16.840.1.113883.6.88	X	
٩			732	AMPH	AMPH - Amphoterisin P	2.16.840.1.113883.6.88		
Z			_5、 /	C PL .	OL UIL OU AIN.	2ზ13ზ6		_
23	9.2	2003	20481	CEFEP	CEFEP - Cefepime	2.16.840.1.113883.6.88	Х	
24			25033	CEFIX	CEFIX - Cefixime	2.16.840.1.113883.6.88	X	
25	9.5	2462	2265702	CEFID	CEFID - Cefiderocol	2.16.840.1.113883.6.88	Х	
4	→ An	timicrobial	Ingredients 2022 A	R AST 2022 Eve	nt Type Procedure Codes 2018 Drug (HAI Drug Su	sc) Pathogen Codes - 2021	AntiB_10.0 Criter	ia

CR 2725 – Gender Identity & Sex at Birth

- See Henrietta's slides for additional details
- Will be added to AR Events in January 2024
 - No way to optionally report these fields on AR Events in CY 2023
 - Must wait until IG has been updated

CR 3550 – Update language to PI Program

- Meaningful Use >> Promoting Interoperability
- Will be updating language throughout the app

Webinars & Training Videos



Top of Page

https://www.cdc.gov/nhsn/cdaportal/datainteroperability.html

CR 3163 – Verify Vendor has Passed AR SDS

- Like AU, NHSN will require vendors validate the software used for submitting AR Option data
- Beginning with January 2023, files will fail if they don't include the vendor
 OID and SDS Validation ID

```
<!-- The author of a population summary report is the software forming the message. -->
<author>
  <time value="20080701"/>
  <assignedAuthor>
  <!--root=vendor OID; extension is assigned SDS Validation ID -->
    <id root="2.16.840.1.114222.4.3.99.99" extension="AR 2019"/>
    <assignedAuthoringDevice>
    <!--Optional Vendor Software Name -->
    <manufacturerModelName>DataProvider</manufacturerModelName>
    <!--optional version and release of the software-->
    <softwareName>1.5.432.55v1</softwareName>
    </assignedAuthoringDevice>
    <!--optional vendor name-->
    <representedOrganization>
    <name>SS CDA Facility</name>
    </representedOrganization>
  </assignedAuthor>
</author>
```

AUR Module Updates: AR Synthetic Data Set

Amy Webb

AR Synthetic Data Set

- R1 now available: https://www.cdc.gov/nhsn/cdaportal/sds/index.html
 - Zip file
 - FAQs
 - Passing vendor list

Synthetic Data Set

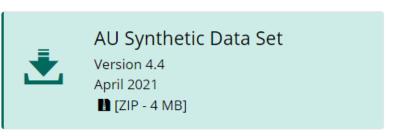
To use either AU or AR data set, the SDS should be loaded into the implementer's database, processed just as they would their own real data, and uploaded to a CDC hosted web application for validation. The web application will return the validation results, which will consist of descriptive error feedback on incorrect rows and values.

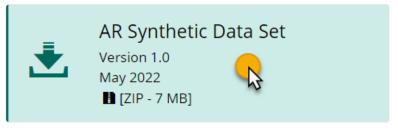
The test data are provided in both CSV and MySQL formats.

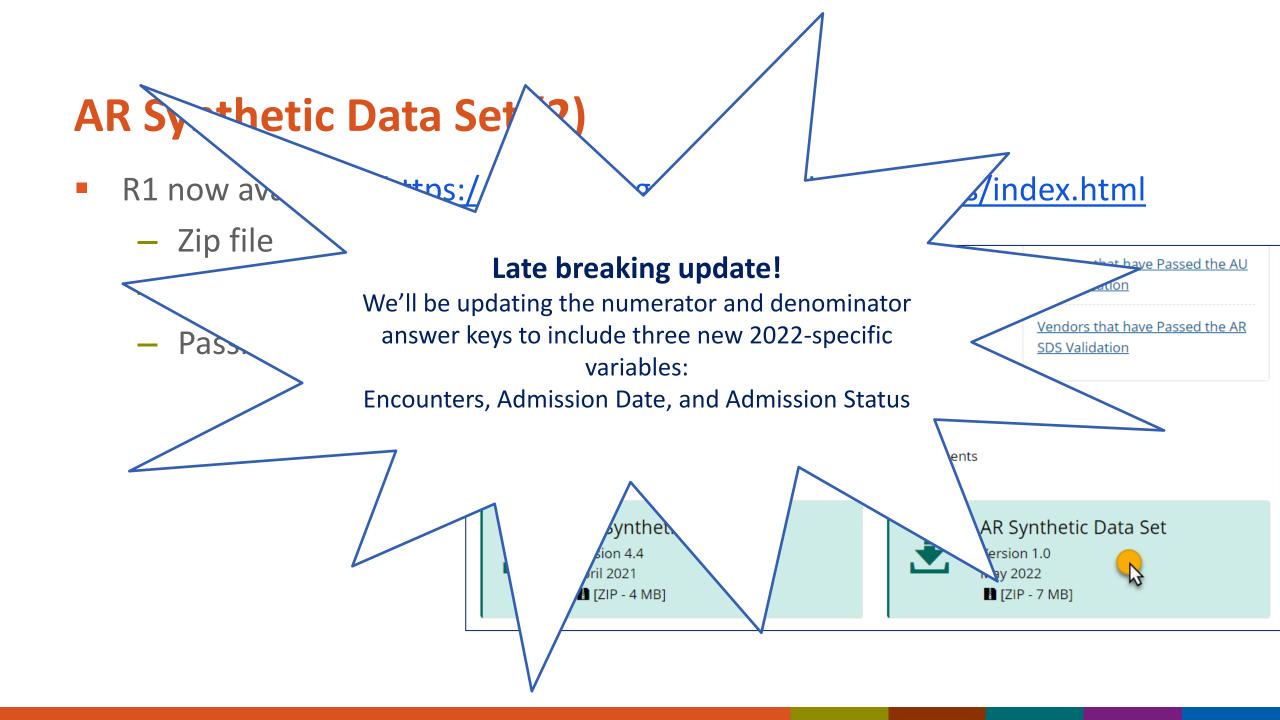
Detailed instructions, the SDS, database schema, and other helpful documents are available in the applicable zip files below.

<u>Vendors that have Passed the AU</u> SDS Validation

<u>Vendors that have Passed the AR</u> SDS Validation

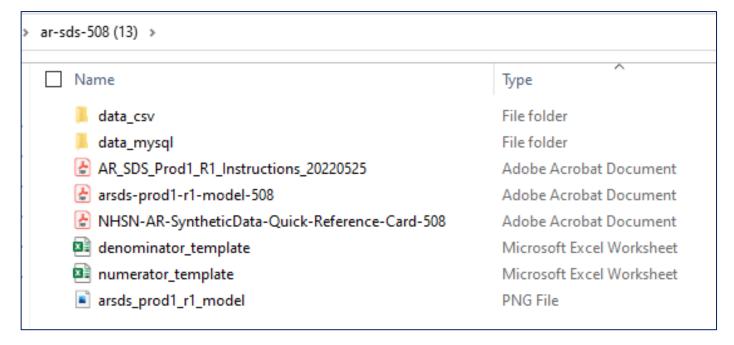






AR Synthetic Data Set continued

- Zip file contents:
 - Instructions & Quick Reference Card
 - Data model diagram
 - Data files in csv and mysql formats
 - Answer key templates



AR SDS Validation Process

- Download the AR SDS and Instructions: https://www.cdc.gov/nhsn/cdaportal/sds/index.html
 - Review AR SDS FAQs: https://www.cdc.gov/nhsn/cdaportal/sds/ar-sds-faq.html
- Process AR SDS through vendor software system
- Compile & aggregate the data following the AR Option Protocol
- Output the data to AR Numerator and AR Denominator Excel files
- Upload AR Excel files to the NHSN SDS Validation Web Service:
 - https://nhsnpilot.ng.philab.cdc.gov/ARValidation-Denominator/home.html
 - https://nhsnpilot.ng.philab.cdc.gov/ARValidation-Numerator/home.html

AR SDS Validation Process (continued)

- Once files pass webservice validation with no errors, email <u>NHSNCDA@cdc.gov</u> the AR Numerator & Denominator Excel files containing the AR data and include the below required vendor info:
 - Vendor (Application) OID
 - Vendor Name
 - Vendor Software Name
 - Vendor Software Version/Release
 - Technical Point of Contact (one primary person but you may cc others on file submission emails)
 - Vendor Website (optional)

NHSN Testing of AR Numerator & Denominator Excel Files

- Files Pass
 - NHSN Team emails vendor SDS Validation ID
 - Vendor includes SDS Validation ID on production AR CDAs
 - Vendor information published on NHSN website
- Files Fail
 - NHSN Team emails vendor that file(s) failed and list of errors
 - Vendor to resume internal testing
 - Resubmit file once ready

AR SDS Validation Frequency

- Completed once per vendor per software version
- Initial Validation Starting in 2023 for all AR CDA Vendors (no "grandfathering")
- Thereafter:
 - Major AR Option Protocol changes
 - Major Vendor Software changes affecting AR data compilation & aggregation

AUR Module Updates: Miscellaneous Updates

Amy Webb, Virgie Fields, & Malissa Mojica

NHSN Report Available for ONC Real World Testing

- New report available for vendors detailing the number of successful & failed AU submissions by month
 - Uses required vendor information in AU files
- Includes AU submissions from March 2022 forward
- Generated for vendors upon request (<u>NHSNCDA@cdc.gov</u>)
 - Available formats: .csv, .xlxs, .pdf

Facility Name	Facility Name OrgID		Year	Total # of records successfully imported	Total # of records failed to import	Total # successful and failed records	
DHQP MEMORIAL HOSPITAL	10000	PSSummaryAU	2022	8	4	12	

Plans for Reviewing 2021 AU Data

- The NHSN AUR Team is in the process of reviewing 2021 AU data
 - Determine discrepancies in submitted AU data
 - Repeating counts for multiple drugs
 - Incompatible drug with route (e.g., reporting a drug that can only be administered via an IV as being administered via another route)
 - Reporting of drugs that have been removed from NHSN
 - Plan to perform outreach to specific facilities and vendors

CMS Proposed Rule Requiring Reporting of AUR Data

- CMS proposed the following change to the Medicare Promoting Interoperability Program for eligible hospitals:
 - Add a new AUR Surveillance measure and require its reporting through NHSN under the Public Health and Clinical Data Exchange Objective, beginning with the CY 2023 EHR reporting period
 - Eligible hospitals must use certified technology
- Comment Period ended on June 17, 2022

AUR Module Updates: Updated AUR Documents

Malissa Mojica

List of Antimicrobial Agents Eligible for AUR Module

- The List of Antimicrobial Agents
 Eligible for AUR Module has been
 updated and posted in the NHSN
 AUR page, in the Supporting
 Materials section
- https://www.cdc.gov/nhsn/xls/aur/ aur-eligible-antimicrobialagents.xlsx



Eligible Agents for AUR Module: AU Option

- Includes all drugs ever reported to AU
 - Dates First reported & Last reported (if applicable)
 - Color coded
- RxNorm codes
- New COVID antivirals

4	А	В	С	D	E	F	G	Н	I	J	K
1	Antimicrobial Agent	Value ^a	NHSN Drug Code	Antimicrobial Category	Antimicrobial Class ^b	Antimicrobial Subclass ^b	First Reported ^c	Last Reported	Notes:		Color Key
2	AMANTADINE	620	AMAN	Anti-influenza	M2 ion channel inhibitors		Jan-10				Newly FDA approved drug
3	AMIKACIN	641	АМК	Antibacterial	Aminoglycosides		Jan-10				Drug not new but added due to change in RxNorm coding
4	AMIKACIN LIPOSOMAL	2059180	AMIKLIP	Antibacterial	Aminoglycosides		Oct-18		First reported date is the date of FDA Approval		Drug removed
5	AMOXICILLIN	723	AMOX	Antibacterial	Penicillins	Aminopenicillin	Jan-10				
6	AMOXICILLIN/CLAVULANATE	19711	AMOXWC	Antibacterial	B-lactam/ B-lactamase inhibitor combination		Jan-10				
7	AMPHOTERICIN B	732	AMPH	Antifungal	Polyenes		Jan-10				
8	AMPHOTERICIN B LIPID COMPLEX	2001759	AMPBLIC	Antifungal	Polyenes		Jan-20		Not a new drug but added due to change in RxNorm coding		
9	AMPHOTERICIN B LIPOSOMAL	236594	AMPHOT	Antifungal	Polyenes		Jan-10				

Eligible Agents for AUR Module: AR Option

- Includes all panels from the beginning of AR Option reporting
 - Includes effective and removed as of dates
 - Color coded
- Lists LOINC codes

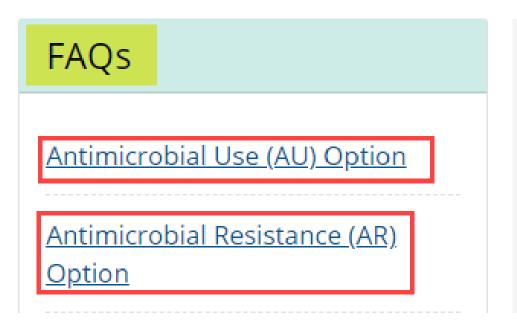
⊿ A	В	C	D	Е	F	G	H	l J
0	Outimissabial Occupa	Value	NHSN Drug	Effective	Removed	Returned to	Nobes	Calan Kan
1 Organism	Antimicrobial Agents	(LOINC Code)	Code	Beginning:	as of:	reporting:	Notes	Color Key
2	Amikacin	18860-7	AMK	1/1/2014				Removed
3	Ampicillin-sulbactam	18865-6	AMPIWS	1/1/2014				Added as of 1/1/2019
4	Cefepime	18879-7	CEFEP	1/1/2014				Added as of 1/1/2022
5	Cefiderocol	99280-0	CEFID	1/1/2022				
5	Cefotaxime	18886-2	CEFOT	1/1/2014				
7	Ceftazidime	18893-8	CEFTAZ	1/1/2014				
3	Ceftriaxone	18895-3	CEFTRX	1/1/2014				
9	Ciprofloxacin	18906-8	CIPRO	1/1/2014				
10	Colistin	18912-6	COL	1/1/2019				
11	Doripenem	60535-2	DORI	1/1/2019				
Acinetobacter ^a	Doxycycline	18917-5	DOXY	1/1/2014				
13 Acinetobacter	Gentamicin	18928-2	GENTA	1/1/2014				
14	Imipenem with Cilastatin	18932-4	IMIPWC	1/1/2014				
5	Levofloxacin	20629-2	LEVO	1/1/2014				
6	Meropenem	18943-1	MERO	1/1/2014				
7	Minocycline	18948-0	MINO	1/1/2014				
8	Piperacillin	8339	PIPER	1/1/2014	12/31/2018			
9	Piperacillin-tazobactam	18970-4	PIPERWT	1/1/2014				
20	Polymyxin B	18972-0	PB	1/1/2019				
1	Ticarcillin-clavulanate	113931	TICARWC	1/1/2014	12/31/2018			
22	Tobramycin	18996-9	TOBRA	1/1/2014				
13	Trimethoprim-sulfamethoxazole	18998-5	SULFAET	1/1/2014				
Acinetobacter ^a -							Tetracycline was reported for all specimen sources from January 2014 - December	
Additional Agents for Urine	Tetracycline	18993-6	TETRA	1/1/2014			2018. Beginning January 2019, Tetracycline is reported only for urine specimens.	

CDA Toolkits

- The team updated the AU and AR Sample Files:
 - AU includes the new AU COVID drugs and RxNorm Codes
 - AR Option Events for specimens collected January 1, 2022, and forward must use the R3 (aka R3-N1) IG.
 - The old R1 IG will continue to be accepted for specimens collected December 31, 2021.
- CDA Toolkit page: https://www.cdc.gov/nhsn/cdaportal/toolkits.html

AU and AR FAQ Pages

- The team has updated the AU and AR FAQ pages to include more items based on questions received in the iSupport AUR inbox
 - AU FAQ page: https://www.cdc.gov/nhsn/faqs/faq-au.html
 - AR FAQ Page: https://www.cdc.gov/nhsn/faqs/faq-ar.html



Late Onset Sepsis & Meningitis Module (LOS/MEN)

LaTasha Boswell & Shuai Zheng

About the LOS/MEN Module

- Target Population: 401 1500 grams; Day of Life 4 120
- Eligible Locations: Level II/III, III, and IV nurseries
- Created in partnership with the Vermont Oxford Network (VON) and other stakeholders
- Events and denominator eligible infants determined by the LOS/MEN calculator created by Dr. Shuai Zheng
- All events and denominator data uploaded via CDA. No manual entry of data.

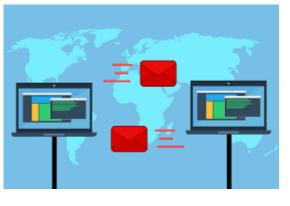
Late Onset Sepsis Events

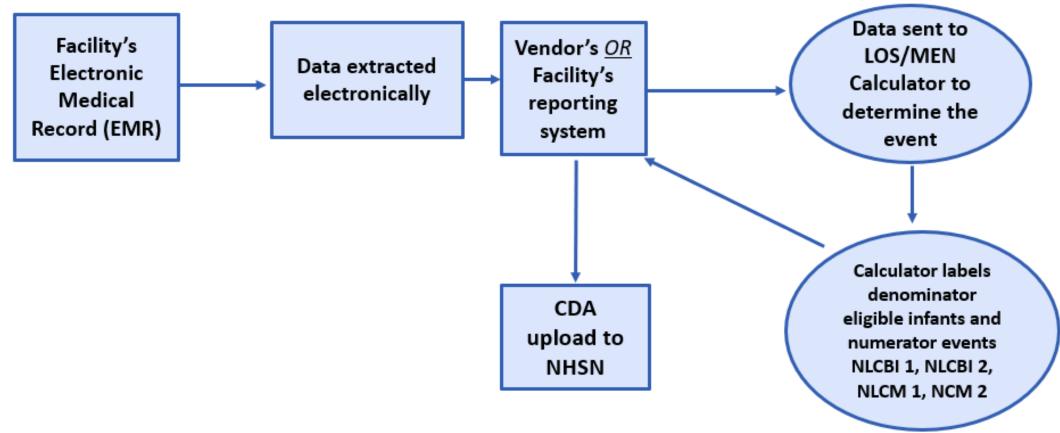
- Neonatal laboratory confirmed bloodstream infection (NLCBI) Event: In an eligible infant, a recognized pathogen or common commensal is identified from one or more blood specimens by a culture or nonculture-based microbiologic testing method which is performed for purposes of clinical diagnosis or treatment. Under this major type of infection, there are two specific types of infection (see below).
 - NLCBI 1: One or more positive blood specimens with a recognized pathogen (specifically a bacterial or fungal organism which is NOT on the NHSN Common Commensal list).
 - NLCBI 2: One or more positive blood specimens with a common commensal (specifically, a bacterial organism which is on the NHSN Common Commensal list). In addition, a new intravenous antimicrobial agent from Table 6 must be initiated during the LOS/MEN window period on or after DOL 4 AND continued for at least 5 calendar days.

Meningitis Events

- Neonatal laboratory-confirmed meningitis (NLCM) Event: In an eligible infant, a recognized pathogen or common commensal identified from a CSF specimen by a culture or non-culture based microbiologic testing method which is performed for purposes of clinical diagnosis or treatment. Under this major type of infection, there are two specific types of infection.
 - NLCM 1: A positive CSF specimen with a recognized pathogen (specifically, a bacterial or fungal organism which is not on the NHSN Common Commensal list).
 - NLCM 2: A positive CSF specimen with a common commensal (specifically, a bacterial organism which is on the NHSN Common Commensal list). In addition, a new intravenous antimicrobial agent from Table 6 must be initiated during the LOS/MEN window period on or after DOL 4 AND continued for at least 5 calendar days.

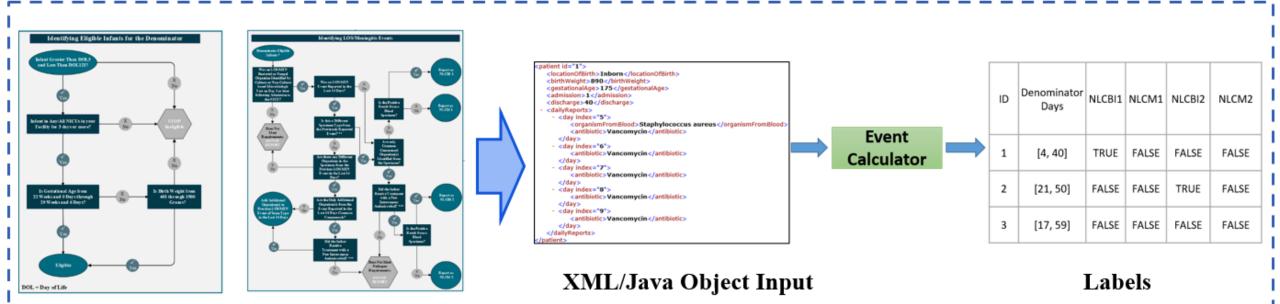
Overview of the Electronic Data Flow





Event Calculator – Java Library (1)





Denominator Determination

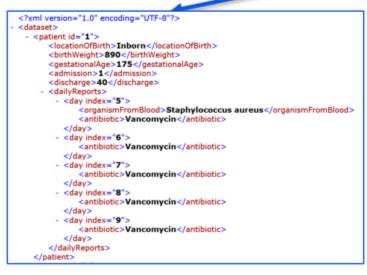
Numerator Determination

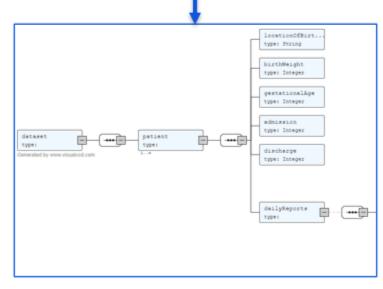
Event Calculator – Java Library (2)



LOS Calculator-Beta 1.0

Java Library (Beta 1.0)





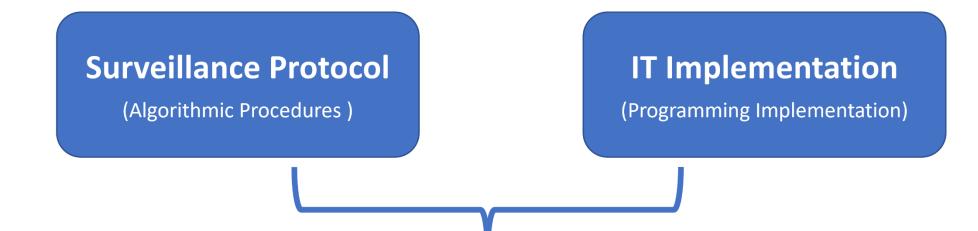
Method Summary All Methods Instance Methods Concrete Methods Modifier and Type Method Description Boolean^{cf} Get the denominator determination results. getDenominator() Get the eligible range of the denominator determination for this patient String! getDenominatorRange() Get detailed numerator events information. ArrayList (LOSEvent) getEvents() String¹⁵ getID() Get the ID of this patient Get the numerator determination results for NLCBI1. Boolean^{to} getNLCBI1() Get the numerator determination results for NLCBI2. Boolean^{to} getNLCBI2() Get the numerator determination results for NLCM1. Boolean[©] getNLCM1() Get the numerator determination results for NLCM2. Boolean^{cf} getNLCM2() String¹⁵ getReason() Get the log of the detailed reasoning process.

Example XML Input

XSD Schema

Java Documentation

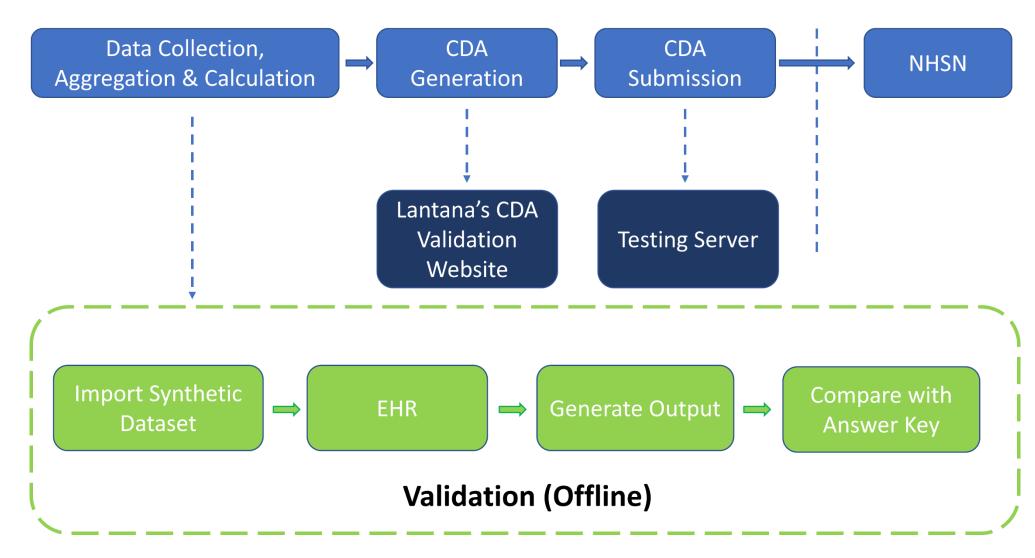
Validation and Synthetic Dataset (1)



Implementation Validation

- Synthetic Dataset/Test Plan
- Message Validation/Testing Server

Validation and Synthetic Dataset (2)



Validation and Synthetic Dataset (3)

```
<?xml version="1.0" encoding="UTF-8"?>
<dataset>
 - <patient id="1">
      <locationOfBirth>Inborn/locationOfBirth>
      <br/>
<br/>
birthWeight>890</br>
      <gestationalAge>175</gestationalAge>
       <admission>1</admission>
       <discharge>40</discharge>
     - <dailyReports>
        - <day index="5">
             <organismFromBlood>Staphylococcus aureus
             <antibiotic>Vancomycin</antibiotic>
          </dav>
        - <day index="6">
             <antibiotic>Vancomycin</antibiotic>
          </dav>
        - <day index="7">
             <antibiotic>Vancomycin</antibiotic>
          </day>
        - <day index="8">
             <antibiotic>Vancomycin</antibiotic>
          </day>
        - <day index="9">
              <antibiotic>Vancomycin</antibiotic>
          </day>
       </dailyReports>
   </patient>
```

Patient ID	Denominator	Denominator Eligible	Numerator	Event Type	Event Date	Event Date	Attributable Location
2	Υ	[4, 18]	N	N/A	N/A		N/A
3	Υ	[4, 20]	N	N/A	N/A		N/A
5	Υ	[4, 20]	N	N/A	N/A		N/A
8	Υ	[4, 12]	N	N/A	N/A		N/A
15	Υ	[4, 48]	N	N/A	N/A		N/A
18	Υ	[4, 16]	N	N/A	N/A		N/A
21	Υ	[4, 17]	N	N/A	N/A		N/A
22	Υ	[4, 19]	N	N/A	N/A		N/A
25	Υ	[4, 21]	N	N/A	N/A		N/A
26	Υ	[4, 41]	Υ	NLCBI 2	HD 14	2020-01-27	NIMC
33	Υ	[4, 6]	N	N/A	N/A		N/A
34	Υ	[4, 5]	N	N/A	N/A		N/A
39	Υ	[4, 27]	N	N/A	N/A		N/A
42	Υ	[4, 47]	N	N/A	N/A		N/A
47	Υ	[4, 78]	N	N/A	N/A		N/A
48	Υ	[4, 75]	Υ	NLCBI 1	HD 14	2020-02-04	NICU
54	Υ	[4, 47]	N	N/A	N/A		N/A
55	Υ	[4, 29]	N	N/A	N/A		N/A

Synthetic Dataset

Test Cases & Answer Key

Contact Us: LaTasha R. Boswell (nwl7@cdc.gov), Shuai Zheng (lgu3@cdc.gov)

NHSN Pre-Production Test Site (NPPT)

Hamna Baig

NHSN Pre-Production Test Site

- Copy of the NHSN development environment
- Includes Analysis and Reporting (A&R) functionality
- Does not include DIRECT CDA Automation or Groups
- No SAMS credentials required
- To enroll complete form found at https://www.cdc.gov/nhsn/cdaportal/datavalidation/toolsandtestsites.html
- Send completed form to the nhsncda@cdc.gov mailbox



NHSN Pre-Production Test Site (NPPT) cont.

- v10.1.3.3 is current environment
 - Reminder: Read "Important Message" at login
- Blast email will be sent out when NPPT is upgraded to new version
- Report any issues you find to the nhsncda@cdc.gov mailbox

Miscellaneous

Sylvia Shuler

CDA Import Data Comparison:

Percentage of data per specific event or summary that is imported via CDA and CSV for the following date ranges:								
	January, 2019 -	April, 2019 -	July, 2019 -	October, 2019 -	January 2020-	April, 2020 -	June, 2020 -	May, 2021 -
	December,	March,	June, 2020	September,	December 2020	March,	July, 2021	April, 2022
Query Date Range	2019	2020		2020		2021		
Blood Stream Infection	44%	47%	49%	51%	56%	53%	55%	60%
Urinary Tract Infection	46%	47%	47%	48%	45%	49%	50%	51%
Surgical Site Infection	45%	47%	49%	51%	42%	53%	54%	57%
Laboratory Identified Event	67%	68%	69%	70%	64%	72%	73%	75%
Dialysis Event	77%	77%	77%	76%	74%	74%	74%	73%
Central Line Insertion Practices (CLIP)	25%	26%	28%	30%	23%	32%	34%	38%
Dialysis Central Line Insertion Practices (CLIP)	0%	0%	0%	0%	0%	0%	0%	0%
Ventilator-Associated Events (VAE)	8%	12%	16%	22%	0%	37%	44%	56%
Antimicrobial Resistance Event	100%	100%	100%	100%	100%	100%	100%	100%
Antimicrobial Use	100%	100%	100%	100%	100%	100%	100%	100%
Antimicrobial Resistance Summary	100%	100%	100%	100%	100%	100%	100%	100%
ICU /Other Summary	30%	30%	30%	30%	27%	32%	34%	39%
SCA/ONC Summary	37%	38%	38%	39%	33%	41%	41%	47%
NICU Summary	32%	32%	32%	32%	28%	35%	36%	43%
Surgical Procedure - via CDA	42%	45%	47%	50%	34%	54%	55%	60%
MDRO Summary	9%	10%	10%	11%	8%	12%	13%	18%
Dialysis Summary	62%	62%	63%	66%	56%	66%	68%	67%
Hemovigilance Summary	0%	0%	0%	0%	0%	0%	0%	0%
Surgical Procedure - via CSV	50%	47%	46%	43%	56%	40%	39%	35%

DIRECT CDA Automation Updates

- ~59 direct addresses and > 8,600 facilities sending via DIRECT
- DIRECT
 - Batch submission process
 - No immediate reply
 - Turn around time based on volume of messages in the queue
- New to implement DIRECT?
 - DIRECT toolkit on the NHSN website
 http://www.cdc.gov/nhsn/cdaportal/importingdata.html#DIRECTProtocol
 - Contact <u>NHSNCDA@cdc.gov</u> for any questions or to set up an onboarding discussion

CDA Version Support

- CDA support: <u>https://www.cdc.gov/nhsn/cdaportal/</u> index.html
- Toolkits: https://www.cdc.gov/nhsn/cdaportal/ toolkits.html
- Guide to CDA versions:
 https://www.cdc.gov/nhsn/cdaportal/toolkits/guidetocdaversions.html

Guide to CDA Versions

For creating CDA files, please see the specific Implementation Guide (IG) and its associated reference materials.

The table below describes the specific Implementation Guide (IG) to be used for each component based on the event/insertion/procedure/specimen collection dates (as applicable) for each year.

Download the corresponding CDA Toolkits for the corresponding year.

Events or Denominators	2022	2021	2020	2019
CDA Toolkit Release	<u>10.1</u>	9.5 & 10.0	<u>9.4</u>	9.2 & 9.3
DIALYSIS				
Dialysis Event	R3-D4	R3-D4	R3-D1.1	R3-D1.1
Dialysis Denominator	R3-D3	R3-D3	R3-D3	R3-D1 or R3- D3
EVENTS				
Primary Bloodstream Infection (BSI)	R4-D1	R3-D3	R3-D3	R3-D2

CDA Version Support (continued)

- Implementers can also use the HL7 GitHub website for latest IG Guides
- HL7 GitHub site (https://github.com/HL7/cda-hai) also includes:
 - XML
 - Related files
 - Schematron
 - CDA Schema
 - Samples
 - Stylesheet

Helpful NHSN Resources





CDA Webinars: https://www.cdc.gov/nhsn/cdaportal/webinars.html



Troubleshooting CDA DIRECT

- I haven't received a DIRECT response for my DIRECT messages, what are my next steps?
 - Please provide the information listed in the table below, send an email to nhsncda@cdc.gov, and the DIRECT database administrator will research the issue.

Facility Name	NHSN Facility ID#	Submitted Date/Time	Zip file Name	Message ID
Best Hospital Ever	12345	11/27/2018 13:15	AU123_NOV_2018	1230589110.20827.1543342802378.JavaMail.tomcat@vendor-hisp02

NHSN Reminders

Welcome feedback

Offer individual vendor conference calls

Make sure you are on the NHSNCDA email distribution list

 Visit the CDA Submission Support Portal (CSSP): https://www.cdc.gov/nhsn/cdaportal/index.html



CDA Submission Support Portal (CSSP)

Toolkits, FAQs, webinars and resources for testing and validation for CDA implementers.

For more information, contact CDC 1-800-CDC-INFO (232-4636)
TTY: 1-888-232-6348 www.cdc.gov

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

