NHSN's Guide to the 2022 Baseline Standardized Infection Ratios

A Guide to the SIR Models Available in Phase 1 of the 2022 HAI Rebaseline*

Summary: The Standardized Infection Ratio (SIR) is the primary summary metric used by the National Healthcare Safety Network (NHSN) to track the incidence of healthcare-associated infections (HAIs). Highlighting the SIR and changes resulting from the updated 2022 baseline, this document is intended to serve both as guidance for those who are new to this metric as well as a useful reference for more experienced healthcare professionals.

This Guide contains:

- An overview of the SIR metric
- Exclusion rules for SIRs calculated under the 2022 national baseline
- Details of each risk adjustment model under the 2022 national baseline

Use this Guide to:

- Understand how the SIR metric is calculated and interpreted
- Review the exclusion criteria applied to each risk adjustment model applicable to your facility/jurisdiction
- Learn which factors are applied to the risk adjustment of each HAI type and for each facility type



Suggested citation: NHSN's Guide to the 2022 Baseline Standardized Infection Ratios. Centers for Disease Control and Prevention website. https://www.cdc.gov/nhsn/2022rebaseline/sir-guide.pdf. Updated April 2025.

*This Guide contains details for those models that have been implemented in the NHSN application, as part of Phase 1 of the 2022 HAI Rebaseline project. More information about CDC's progress on the 2022 Rebaseline efforts can be found <u>here</u>.





Table of Contents

Table of Contents	
Section 1: Overview of the Standardized Infection Ratio (SIR)	3
What is the SIR?	4
Why not rates?	4
How is the SIR calculated?	4
Calculating the Number of Predicted Infections	5
Example: Logistic Regression Model (SSI)	5
Example: Negative Binomial Regression Model	8
Finding and Interpreting SIRs in NHSN	_ 10
Section 2: Exclusion Criteria for SIRs Calculated Under the 2022 National Baseline _	_15
Exclusion Factors for Acute Care Hospitals	17
Exclusion Factors for Critical Access Hospitals	_ 20
Exclusion Factors for Long-Term Acute Care Hospitals	_ 22
Exclusion Factors for Inpatient Rebabilitation Facilities	_ 23
Section 3: Risk Adjustment Factors Included in the SIR Calculation	_24
Risk Adjustment Factors Included in the SIR Calculation: Acute Care Hospitals	_ 25
Risk Adjustment Factors Included in the SIR Calculation: Critical Access Hospitals	_ 42
Risk Adjustment Factors Included in the SIR Calculation: Long-Term Acute Care Hospitals	48
Risk Adjustment Factors Included in the SIR Calculation: Inpatient Rehabilitation Facilities _	53
Appendix	_58
Additional Modeling Example	_ 58
Additional Resources	_ 61
Glossarv	63

Section 1: Overview of the Standardized Infection Ratio (SIR)

Return to Table of Contents

This section introduces the standardized infection ratio (SIR) and its vital role in tracking healthcareassociated infections (HAIs) over time. It explains why rates may not be a sufficient metric for this purpose, outlines the methodology behind SIR calculations, and provides examples of how regression models are used to calculate the number of predicted HAIs (SIR denominator). Interpretation of SIR values, including statistical results like p-values and confidence intervals, is also discussed. Practical applications in NHSN reports and benchmarking against national standards are also covered, emphasizing the crucial role of SIRs in infection prevention and patient safety.

This section contains several acronyms and abbreviations. For descriptions of these terms, please reference <u>the glossary</u> at the end of the document.

What is the SIR?

The standardized infection ratio (SIR) is a summary metric used by healthcare facilities, CDC, and other public health organizations to track the incidence of healthcare-associated infections (HAIs) over time. The SIR adjusts for various facility and/or patient-level factors that contribute to HAI risk within each facility. The method of calculating an SIR is similar to the method used to calculate the Standardized Mortality Ratio (SMR), a summary statistic widely used in public health to analyze mortality data. In HAI data analysis, the SIR compares the number of HAIs reported (numerator) to the number that would be predicted (denominator), given the standard population (i.e., national baseline), adjusting for several risk factors that have been found to be significantly associated with differences in HAI incidence. When interpreting the SIR, a value greater than 1.0 indicates that more HAIs were observed than predicted; conversely, an SIR less than 1.0 indicates that fewer HAIs were observed than predicted. SIRs are available in NHSN for a variety of HAI types and healthcare facility types, as listed in NHSN's Rebaseline Implementation Guide and Change Log.

Why not rates?

The SIR has been used by CDC since 2009 as a way to measure incidence of HAIs. Prior to the introduction of the SIR, HAI data from the National Healthcare Safety Network (NHSN) were published using annual stratified rates for device-associated infections and surgical site infections. These rates, or pooled means, were calculated using <u>aggregate data reported to NHSN</u>. However, a problem with strictly using pooled mean rates is that they do not account for other factors that could contribute to differences in the risk of HAIs between facilities and locations. On the other hand, the SIR is a single value that summarizes data across more than a single stratum (e.g., location or procedure category), and adjusts for differences in the risk of infection using all applicable factors found to be statistically significant predictors of that infection type.

The SIR is a scalable risk-adjusted measure, meaning it can be calculated at different levels of aggregation. For example, NHSN users can obtain a CLABSI SIR for each unit in the facility. The numerators and denominators of those unit-level SIRs can be summed to arrive at a facility-wide CLABSI SIR, and furthermore, each facility's SIR numerator and SIR denominator can be summed and used to calculate a state-, region-, or national-level SIR.

The SIR allows for a comparison to the national benchmark from a baseline time period and can be used to measure progress from a single point in time. In other words, the SIR permits comparisons between the number of infections experienced by a facility, group, or state to the number of infections that were predicted to have occurred based on national data from a static point in time (i.e., baseline data). Since its introduction, CDC has updated the year of the national baseline twice: once using 2015 data (the 2015 "Rebaseline"), and again using 2022 data (the 2022 "Rebaseline"). More information about the original baselines used for the SIRs, and the "Rebaselining" process, can be found <u>here</u>.

How is the SIR calculated?

The SIR is calculated by dividing the number of observed infections by the number of predicted infections. The number of predicted infections is calculated using multivariable regression models generated from nationally aggregated data during a baseline time period. These models are applied to a facility's denominator and risk factor data to generate a predicted number of infections at the most granular level appropriate for the HAI of interest. Please refer to Section 3 of this SIR Guide for more details regarding the models.

$SIR = \frac{Observed(O) HAIs}{Predicted(P) HAIs}$

In order to enforce a minimum precision criterion, **SIRs are only calculated when the number of predicted infections is at least 1.0**. This rule was instituted to avoid the calculation and interpretation of statistically imprecise SIRs, which typically have extreme values.

Calculating the Number of Predicted Infections

The number of predicted infections in NHSN is calculated based on national HAI aggregate data from a baseline time period and is adjusted for each facility using variables found to be significant predictors of HAI incidence during the baseline year. **Note that the SIR and the number of predicted infections are calculated within NHSN for NHSN users.** More information about how to generate SIRs within the NHSN application using different baseline years can be found <u>here</u>. The analytic reports within NHSN use either a logistic regression model or a negative binomial regression model to perform this calculation. Logistic regression models are used when there is an opportunity for a single outcome for each exposure (e.g., surgical site infections, or SSIs, following a procedure). Negative binomial regression models are used when estimating incidence from a summarized population (e.g., CLABSIs in a Medical Critical Care Unit). Examples that demonstrate the application of each model type are provided below. An additional example of a negative binomial regression model using MRSA LabID data can be found in the <u>appendix</u>.

Example 1: Logistic Regression Model (SSI)

The logistic regression model is the specific type of model used for SSI risk adjustment. At a high level, the model uses a set of fixed parameters (adjustment variables) to predict the log-odds of an SSI following an NHSN operative procedure. To obtain the total number of predicted SSIs, the following steps are completed in NHSN:

- 1. Determine the log-odds for each procedure
- 2. Convert the log-odds into a probability, or risk of infection (\hat{p}) , for each procedure
- 3. Sum the risk of infections across all procedures in a given timeframe

The sum of the risks from a set of procedures will amount to the total number of predicted infections for that same set of procedures. *Table 1* below shows the risk factors found to be significant for abdominal hysterectomy (HYST) procedures (Complex 30-Day model) in NHSN based on 2022 national data. Note that each risk factor's contribution to the SIR varies, as represented by the parameter estimate for each factor. Parameter estimates describe the relationship between the variable and the risk of SSI; positive parameter estimates indicate that the risk of SSI increases with increasing values of the variable. Negative parameter estimates indicate that the risk of SSI decreases with increasing values of the variable. The p-values shown in the table below reflect the statistically significant relationship between the factor and the outcome (SSI).

Factor	Parameter Estimate	P-value	<u>Variable coding, based</u> on Specific Example Below
Intercept	-6.4524	<0.0001	1
Procedure duration: 80-	0.4701	<0.0001	1: 80-136
136 (Min)			
Procedure duration:	0.7385	<0.0001	0: 137-188
137-188 (Min)			
Procedure duration:	0.9316	<0.0001	0: ≥189
≥189 (Min)			
Procedure duration:	REFERENT	-	0: ≤79
≤79 (Min)			
BMI: ≥30	0.2188	<0.0001	1:≥30
BMI: <30	REFERENT	-	0: <30
Patient's age at	0.6110	< 0.0001	0: 18-47
procedure: 18-47 years			
Patient's age at	0.3018	<0.0001	0: 48-55
procedure: 48-55 years			
Patient's age at	REFERENT	-	1: 56-109
procedure: 56-109			
years			
ASA score: 2	0.3276	0.0118	1: ASA 2
ASA score: 3/4/5	0.5465	<0.0001	0: ASA 3/4/5
ASA score: 1	REFERENT	-	0: ASA 1
Scope: No	0.1667	0.0002	1: No
Scope: Yes	REFERENT	-	0: Yes
Medical School	0.0934	0.0483	0: Major ('M')
Affiliation ¹ : Major			
Medical School	REFERENT	-	1: Graduate,
Affiliation ¹ : Graduate/			undergraduate or none
Undergraduate/None			('G', 'U', 'N')
Oncology Hospital ² : Yes	0.5740	0.0002	0: Yes
Oncology Hospital ² : No	REFERENT	-	1: No
Diabetes: Yes	0.1466	0.0208	1: Yes
Diabetes: No	REFERENT	-	0: No

Table 1. Risk Factors for SSI HYST: Complex 30-Day Model (2022 Baseline)

¹ Medical school affiliation is reported on the <u>Annual Hospital Survey</u>.

² Based on the facility's NHSN enrollment as HOSP-ONC.

Section 1: Overview of the SIR

 $logit (\hat{p}) = \alpha + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_i X_i \text{ , where:}$ $\alpha = \text{Intercept}$ $\beta_i = \text{Parameter Estimate}$ $X_i = \text{Value of Risk Factor (Categorical variables= 1 if present, 0 if not present. Refer to "Variable Coding" column in Table 1 above.)}$ i = Number of Predictors

The parameter estimates from *Table 1* can be plugged into the following general logistic regression formula:

The probability of SSI is calculated using the logistic regression model above, by utilizing the relationship between the log-odds and the probability (risk). Let's say we have a patient (Patient 1) who is 59 years old, has diabetes, and a BMI score of 35. She had an ASA score of 2, the procedure which lasted 89 minutes was not scoped, and her procedure took place in a general hospital which indicated a medical school affiliation of 'graduate' on the NHSN annual survey. We can use the model above to plug in these values as shown below:

$$\begin{array}{l} logit \ (\hat{p}) = -6.4524 + 0.4701 (procedure \ duration = 80 - 136 \ mins) + \ 0.2188 \ (BMI = \geq 30) \\ + \ 0 \ (patient's \ age = 56 - 109 \ years) + \ 0.3276 \ (ASA \ score = 2) \\ + \ 0.1667 \ (scope = No) + \ 0 \ (Medtype = other) + \ 0 \ (oncology \ hospital \\ = No) + \ 0.1466 \ (diabetes = Yes) \end{array}$$

 $logit (\hat{p}) = -6.4524 + 0.4701(1) + 0.2188(1) + 0(1) + 0.3276(1) + 0.1667(1) + 0(1) + 0(1) + 0.1466(1) = -5.1226$

The value -5.1226 is the log-odds of SSI for Patient 1. To convert this value into the risk of SSI (\hat{p}), we must use the logit function below:

$$\hat{p} = \frac{e^{\log it(\hat{p})}}{1 + e^{\log it(\hat{p})}}$$
$$\hat{p} = \frac{e^{-5.1226}}{1 + e^{-5.1226}}$$
$$\hat{p} = 0.006$$

Note that this can also be interpreted as a 0.6% risk of SSI for Patient 1. The probability of SSI is calculated for each procedure and then summed across all procedures to give the total number of predicted SSIs for this

7 | Page

population. *Table 2* provides a partial list of 100 hypothetical patients who have undergone this particular procedure type (abdominal hysterectomy) and demonstrates how the total number of predicted SSIs is calculated.

									Medical	
	<u>Diabe</u>	<u>ASA</u>			<u>Oncology</u>	Procedure		<u>SSI</u>	<u>School</u>	Probability
Patient	<u>-tes</u>	<u>Score</u>	<u>BMI</u>	<u>Age</u>	<u>Hospital</u>	Duration	<u>Scope</u>	Identified?	Affiliation	of SSI (\widehat{p})
1	Y	2	35	59	Ν	89	Ν	0	Graduate	0.006
2	Y	3	41.5	71	Ν	206	Ν	0	Graduate	0.012
3	Ν	2	34	77	Ν	104	Y	1	Graduate	0.004
									Graduate	
									Graduate	
100	Ν	1	30	30	Ν	358	Y	1	Graduate	0.007
								6		
								(observed		
Total								SSIs)		8.911

Table 2. Risk Factors for 100 Patients Undergoing a HYST Procedure (Complex 30-Day model)

Notice in the above table that the probability of SSI is different for each patient, given the risk factors present during the reported procedure.

The SIR can now be calculated for those 100 procedures as follows:

$$SIR = \frac{Observed(0) HAIs}{Predicted(P) HAIs} = \frac{6}{8.911} = 0.673$$

Example 2: Negative Binomial Regression Model

Let's look at another example of the calculation for the number of predicted infections using the negative binomial regression model. This next example is for CAUTIs in a critical access hospital (CAH). Table 3 provides details of the risk adjustment model used to calculate the number of predicted CAUTIs for CAHs. *Note: As of the time of publication of this document, the 2022 baseline for CAUTI is not yet <u>available</u> in the NHSN application. The example below is being used for educational purposes only. An example of the calculation used for MRSA bacteremia can be found in the <u>Appendix</u>.*

Table 3. Risk Factors Used in the Critical Access Hospital CAUTI Model

<u>Factor</u>	Parameter Estimate	<u>P-Value</u>	Variable Coding, Based on Specific Example Below
Intercept	-7.6495	<0.0001	1
Average length of stay ¹ : \geq 6.5 days	0.4257	<0.0001	1
Average length of stay ¹ : 1-6.4 days	REFERENT	-	0
Proportion of total beds that are ICU ² : < 0.16	0.4189	<0.0001	1

Section 1: Overview of the SIR

Proportion o 0.16	f total beds that are ICU ² : \geq	REFERENT	-	0
	of stay is calculated as # annual patient eds that are ICU is calculated as: # of ICU	•	•	
Ve can input	the model details from <i>Table 3</i> ir	nto the general negativ	e binomial regression	formula:
		X		、
í	$\alpha(\lambda) = \alpha + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_n X_n +$			$\sum_{i=1}^{N}$
ιog		$p_i x_i$, where:		
	α = Intercept			
	β_i = Parameter Estimate			
	X _i = Value of Risk Factor (Cat	tegorical variables: 1 if	present, 0 if not prese	ent)

predicted CA011 = $Exp \left[-7.6495 + 0.4257 (Average length of stay \ge 6.5) + 0.4189 (Proportion of total beds that are ICU < 0.16)\right] x catheter days$

In our example, we would like to calculate the number of predicted CAUTI events for a medical ward in a CAH. The medical ward in our example has reported 450 catheter days and 2 CAUTI events for the time period of interest. According to the facility's NHSN annual survey, this facility reported 3 ICU beds, 1,500 annual patient days, 175 annual admissions and 25 total beds.

In our example hospital, the completed formula looks like this:

Exp [-7.6495 + 0.4257(1) + 0.4189(1)] x 450 catheter days= 0.499 predicted CAUTI events

Based on the negative binomial regression model, 0.499 CAUTIS were predicted to have occurred in this medical ward. Since the number of predicted CAUTIS is less than 1, an SIR will not be calculated. Facilities have a few options, outlined <u>here</u>, for reviewing and interpreting HAI data when an SIR cannot be calculated.

Finding and Interpreting SIRs in NHSN

What SIR reports are available?

To run analysis reports in NHSN, users must first generate analysis data sets (Analysis > Generate Data Sets). NHSN recommends that users regenerate data sets after entering new data into the application and before creating new reports. After data sets have been regenerated, users can select Analysis > Reports from the NHSN navigation bar to view all available reports. SIR Reports can be found under the "HAI Risk Adjusted Measure Reports" folder. Subfolders are organized first by national baseline year (2022 baseline, 2015 baseline, and original baseline). Each baseline subfolder contains HAI-specific subfolders with all available SIR reports for the chosen baseline. In addition, SIR reports are available that reflect the data applicable to Programs under the Centers for Medicare & Medicaid Services (CMS) Prospective Payment Systems ("CMS Programs"). These reports can be found in the analysis folder titled "CMS Reports". More information about the features and functionality of SIR Reports in the NHSN application can be found in the Rebaseline Implementation Guide and Change Log.

Expand All	Collapse All	Search				
🗕 🔁 HAI R	isk Adjusted Mea	sure Reports (SIRs, SURs)				
🔤 2022 Baseline (Baseline Set 3) 🛛 🚽 Baseline						
P 🚞 20	015 Baseline <mark>(</mark> Bas	eline Set 2)	SIR Reports Using 2015			
o 📄 🖓	riginal Baseline (E	3aseline Set 1) 🗲	or Original Baseline			
🗁 🚞 HAI D	etailed Reports (Line Lists, Rate Tables, etc.))			
🗁 📴 Digita	🔚 Digital Quality Measure Reports (dQM)					
Preview SIRs Submitted to CMS (2015 Baseline)						
Targeted Assessment for Prevention (TAP) Reports						
🖙 📄 Antim	icrobial Use and	Resistance Module				
🖟 📄 COVI	D-19 Module					
🔤 Nursing Hours Per Patient Day (NHPPD)						
🔚 Supplemental Reports						
🦾 📇 My Cu	ustom Reports					

Which Baseline Can I Use?

SIRs can be generated for data through 2016 using the <u>original NHSN baselines</u> by running reports in the "Baseline Set 1" folder of the analysis treeview. Data representing January 2015 and forward can be analyzed using SIRs under the 2015 baseline models, and data representing January 2022 and forward can be analyzed using SIRs under the 2022 baseline. Even after the complete implementation of the 2022 baseline, SIRs will continue to be available in NHSN under the 2015 baseline for a period of time, and NHSN users will have a choice about which national baseline to use. See the figure below for a visual representation of these timelines.



SIRs calculated under any national baseline cannot be directly compared to SIRs calculated under a different national baseline. Additional information about how to determine which baseline to use for various analytic purposes can be found here: <u>https://www.cdc.gov/nhsn/pdfs/rebaseline/Which-Baseline-Should-I-Use.pdf</u>

How do I Interpret SIRs that were Calculated Using the 2022 Baseline? SIR

- If the SIR is greater than 1.0, then more HAIs were observed than predicted, based on the 2022 national aggregate data.
- If the SIR is less than 1.0, then fewer HAIs were observed than predicted, based on the 2022 national aggregate data.
- If the SIR equals 1.0, then the same number of HAIs were observed as predicted, based on the 2022 national aggregate data.
- Remember, the SIR is only calculated when the number of predicted infections is at least 1.0. When the predicted number of infections is less than 1.0, facilities have a few options for reviewing and interpreting HAI data in NHSN:
 - A longer time period can be specified for the SIR calculation in order to reach the threshold of 1.0 predicted infection.
 - Infection rates can be used to track internal HAI incidence over time.
 - Run the Targeted Assessment for Prevention (TAP) Reports to review the CAD (cumulative attributable difference, which is the difference between the number of observed infections and the number of predicted infections, multiplied by the SIR goal). Information and guidance about running TAP reports can be found in <u>Additional Resources</u>.

P-value

- In the context of the SIR, the p-value is a statistical measure that tells us whether the number of observed infections is statistically significantly different than the number of predicted infections (i.e., whether the SIR is significantly different from 1.0). NHSN calculates p-values using a mid-P exact test.
- Given the typical cutoff value of 0.05:
 - if the p-value is less than or equal to 0.05, we can conclude that the number of observed infections is statistically significantly different than the number of predicted infections.
 - If the p-value is greater than 0.05, then we can conclude that the number of observed infections is <u>not</u> statistically significantly different than the number of predicted infections.

95% Confidence Interval

- The 95% confidence interval is a statistical range of values (i.e., lower bound and upper bound) in which we have a high degree of confidence that the true SIR lies. The p-value and 95% confidence interval will often have the same conclusion.
- If both bounds of the confidence interval are either below 1 or above 1, then the SIR is significantly different than 1 (i.e., the number of observed infections is significantly different than the number of predicted infections).
 - Example: 95% confidence interval = (0.85, 0.92)
- If the lower bound is less than 1 and the upper bound is greater than 1, then the SIR is not significantly different than 1 (i.e., the number of observed infections is not significantly different than the number of predicted infections).
 - Example: 95% confidence interval = (0.85, 1.24)
- If the SIR is 0.000 (i.e., the observed infection count is 0 and the number of predicted infections is greater than or equal to 1.0), then the lower bound of the 95% confidence interval will not be calculated. In this case, the lower bound can be assumed to be 0, as it cannot be less than 0.

Example SIR Report

As an example, let's take a look at the MRSA Bacteremia FacwideIN LabID Event SIR partial output below. This table shows the overall SIR for a hospital during the second quarter of 2023, calculated using the 2022 baseline.

location	summaryYQ	months	MRSA_bldIncCount	numPred	numpatdays	SIR	SIR_pval	sir95ci
FACWIDEIN	2023Q2	3	1	1.196	36030	0.836	0.9665	0.042, 4.124

- During the second quarter (April–June) of 2023 there was 1 healthcare-onset MRSA bacteremia LabID event identified (MRSA_bldIncCount) in the facility, and a total of 36,030 patient days were reported.
- Based on the NHSN 2022 baseline data, 1.196 healthcare-onset events were predicted.
- This results in an SIR of 0.836 (1/1.196), signifying that during this time period, the facility identified fewer healthcare-onset MRSA bacteremia LabID events than were predicted.

• Because the p-value is higher than the chosen significance level of 0.05 and the 95% confidence interval includes the value of 1, we can conclude that the facility's SIR is not statistically significant; in other words, the facility did not observe a statistically significantly different number of healthcare-onset MRSA bacteremia LabID events than predicted.

When analyzing these data as a Group user, an overall SIR will be calculated for all facilities in the Group. More information about using the Group function in NHSN can be found here: <u>https://www.cdc.gov/nhsn/group-users/index.html</u>.

How Were the 2022 Baseline Risk Adjustment Models Developed?

The NHSN Team divided the 2022 national data into 4 facility-type categories for modeling purposes. The table below displays the individual facility types that are contained within each category. Separate risk adjustment models were created for these 4 mutually-exclusive categories. The remaining sections of this Guide (Section 2: <u>Exclusion Criteria</u> and Section 3: <u>Risk Adjustment Factors</u>) are each divided into the 4 facility-type categories listed below.

Table 4. Facility Categorization Used for SIK Baselin	
Facility Type Category	Specific Facility Types
ACH ¹ : Acute Care Hospital	Children's Hospitals (HOSP-CHLD), General Hospitals (HOSP-GEN), Military Hospitals (HOSP- MIL), Oncology Hospitals (HOSP-ONC), Orthopedic Hospitals (HOSP-ORTHO), Surgical Hospitals (HOSP- SURG), Veteran's Affairs Hospitals (HOSP-VA), Women's Hospital (HOSP-WOM), Women's and Children's Hospital (HOSP-WOMCHILD)
CAH: Critical Access Hospital	Critical Access Hospital (HOSP-CAH)
IRF: Inpatient Rehabilitation Facility	 Free-standing Inpatient Rehabilitation Hospital (HOSP-REHAB) Inpatient Rehabilitation Units (IRFs) located in a hospital. IRF Units are defined as: a. CDC location code (locCDC) values: IN:ACUTE:WARD:REHAB_PED, IN:ACUTE:WARD:REHAB AND b. Distinct CMS certification number (CCN) for the unit. The presence of a distinct CCN is noted in the NHSN application as "cmsIRF" = Y.

Table 4. Facility Categorization Used for SIR Baseline Analyses

	Long-Term Acute Care Hospitals (HOSP-LTAC),
LTACH: Long-Term Acute Care Hospital	Pediatric Long-Term Acute Care Hospitals (HOSP-
	PEDLTAC)

¹The ACH types listed are those that were considered eligible for the 2022 rebaseline analyses for at least 1 HAI type. Please review Section 2 of this document to understand which facility types may be excluded from any specific model.

More information about the methods used by the NHSN Statistics Team to generate the risk adjustment models can be found in the educational slide deck titled "Obtaining the Number of Predicted Events for the SIR", available <u>here</u>.

Section 2: Exclusion Criteria for SIRs Calculated Under the 2022 National Baseline

Return to Table of Contents

This section outlines exclusion criteria that are applied to Standardized Infection Ratios (SIRs) calculated for Acute Care Hospitals (ACHs), Critical Access Hospitals (CAHs), Long-Term Acute Care Hospitals (LTACHs), and Inpatient Rehabilitation Facilities (IRFs). Exclusion rules vary by facility type and HAI type and might differ from those used for previous baselines. Data records meeting any exclusion criteria are omitted from SIR calculations. Additionally, some facility types and locations are excluded from certain SIRs because data from those facilities/locations were not available in the 2022 national data.

This section contains several acronyms and abbreviations. For descriptions of these terms, please reference <u>the glossary</u> at the end of the document.

Table 1. Exclusion Criteria that Apply to all HAI Types and all Facility Types

Data meeting one or more of the criteria from this table will be excluded from the calculation of all SIRs that use the 2022 baseline year.

All-Model Exclusions

All data prior to 2022

Data from Inpatient Psychiatric Hospitals (HOSP-PSYCH) and Inpatient Psychiatric (IPF) units¹

Data from facilities enrolled in NHSN using the facility type designation of Public Health Emergency Facility (HOSP-PHE/NG or HOSP-PHE/G)

SIRs calculated for Acute Care Hospitals, Critical Access Hospitals, and Long-Term Acute Care Hospitals will exclude data reported from Inpatient Rehabilitation (IRF) units. Please refer to <u>Table 4</u> in Section 1 of this document for further explanation.

Data from records that are missing one or more risk adjustment factor(s) for that model

¹IPF units are defined as:

 CDC location code (locCDC) values: IN:ACUTE:WARD:BHV, IN:ACUTE:WARD:BHV_PED, IN:ACUTE:WARD:BHV_ADOL, IN:ACUTE:WARD:CD

<u>AND</u>

Distinct CMS certification number (CCN) for the unit. The presence of a distinct CCN is noted as "cmsIPF" = Y.

Section 2: Exclusion Criteria Acute Care Hospitals

Exclusion Rules for 2022 Baseline SIRs:

Acute Care Hospitals (ACHs)

Table 2. Exclusion Criteria for all Device-Associated HAI SIRs in ACHs

General Exclusion Criteria for all CLABSI, MBI-LCBI, and CAUTI SIRs

Excludes data from all non-acute locations: Inpatient Hospice, Chronic Alzheimer's Unit, Chronic Rehabilitation Unit, Chronic Behavioral Health/Psych Unit, Chronic Care Unit, Ventilator Dependent Unit.

Please see the locations chapter for more information on CDC Location mapping: https://www.cdc.gov/nhsn/pdfs/pscmanual/15locationsdescriptions_current.pdf

Certain locations are excluded from each of the device-associated HAI SIRs. More information is found in the tables below, or can be found in the "Locations in the Device-Associated SIRs" spreadsheet, here: <u>https://www.cdc.gov/nhsn/pdfs/cdc-location-list-da-models-508.pdf</u>

Denominator records with a missing value for corresponding device days: central line days (CLABSI, MBI-LCBI) or urinary catheter days (CAUTI).

Table 3. Exclusion Criteria for CLABSI SIRs (ACHs)

Refer to Table 1 and Table 2 for general exclusion criteria

The following CLABSI events are excluded from the CLABSI SIR numerator: Mucosal Barrier Injury Laboratory-Confirmed Bloodstream Infection (MBI-LCBI), Extracorporeal life support (ECMO) and Ventricular Assist Device (VAD) BSI events, Munchausen Syndrome by Proxy (MSBP), Epidermolysis bullosa (EB), Patient self-injection, and Pus at vascular access site.

Denominator records with a missing value for device days specific for CLABSI. This includes NICU data reported from one or more birthweight categories that are missing a value for central line days. This also includes missing temporary and/or permanent central line days from specialty care areas (SCAs) and/or oncology locations.

SIRs calculated for ICUs and Wards will exclude data from the following locations: Pediatric Neurosurgical Critical Care, Pediatric Respiratory Critical Care, Ophthalmology Ward, School Infirmary, Pediatric Ear, Nose, Throat Ward, Cardiac Catheterization Room/Suite, Cesarean Section Room/Suite, Interventional Radiology, Operating Room/Suite, and Post Anesthesia Care Unit/Recovery Room

SIRs calculated for SCAs will exclude data from the following location: Pediatric Dialysis Specialty Care Area Records that are missing a value for any risk factor used in the risk adjustment model. View the model details to see risk factors included in each model:

- ICUs and Wards
- <u>NICUs</u>
- <u>Specialty Care Areas and Oncology Units</u>

Table 4. Exclusion Criteria for MBI-LCBI SIRs (ACHs)

Exclusion Criteria for MBI-LCBI SIR

Refer to <u>Table 1</u> and <u>Table 2</u> for general exclusion criteria

Denominator records with a missing value for central line days. This includes missing temporary and/or permanent central line days from SCA and oncology locations.

SIRs calculated for hospitals enrolled in NHSN as Orthopedic Hospitals (HOSP-ORTHO), Surgical Hospitals (HOSP-SURG), or Women's Hospitals (HOSP-WOM) will exclude data from SCA and oncology locations.

SIRs calculated for ICUs and Wards will exclude data from the following locations: Pediatric Neurosurgical Critical Care, Ophthalmology Ward, School Infirmary, Chemical Dependency Ward, Pediatric Ear, Nose, Throat Ward Cardiac Catheterization Room/Suite, Cesarean Section Room/Suite, Interventional Radiology, Operating Room/Suite, and Post Anesthesia Care Unit/Recovery Room, Neonatal Critical Care (Level II/III), Neonatal Critical Care (Level III), Neonatal Critical Care (Level IV)

Refer to the "Locations in the Device-Associated SIRs" spreadsheet, here:

https://www.cdc.gov/nhsn/pdfs/cdc-location-list-da-models-508.pdf

SIRs calculated for specialty care areas (SCAs) will exclude data from the following location: Pediatric Dialysis Specialty Care Area

Records that are missing a value for any risk factor used in the risk adjustment model. View the model details to see risk factors included in each model:

- <u>Specialty Care Areas and Oncology Units</u>
- ICUs and Wards

Table 5. Exclusion Criteria for CAUTI SIRs (ACHs)

Exclusion Criteria for CAUTI SIR

Refer to Table 1 and Table 2 for general exclusion criteria

SIRs will exclude data from the following locations: Pediatric Neurosurgical Critical Care, Pediatric Respiratory Critical Care, Neonatal Critical Care (Level III), Neonatal Critical Care (Level II/III), Neonatal Critical Care (Level IV), Pediatric Dialysis Specialty Care Area, Ophthalmology Ward, School Infirmary, Pediatric Ear, Nose, Throat Ward, Chemical Dependency Ward, ,Cardiac Catheterization Room/Suite, Cesarean Section Room/Suite, Interventional Radiology, Operating Room/Suite, Post Anesthesia Care Unit/Recovery Room)

Records that are missing a value for any risk factor used in the CAUTI risk adjustment model. <u>View the model details</u>

Table 6. Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs (ACHs)

Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs

Refer to <u>Table 1</u> for general exclusion criteria

Events will be excluded from the SIR numerators that do not meet the inclusion criteria for the <u>MRSA</u> <u>Bacteremia</u> and <u>CDI</u> LabID Event SIR numerators

Data from Veteran's Affairs Hospitals (HOSP-VA)

Denominator records with missing summary data: this includes missing (CDI/MRSA) monthly patient days and (CDI/MRSA) admissions and/or missing CDI test method for the quarter.

Records that are missing a value for any risk factor used in the risk adjustment model. View the model details:

MRSA Bacteremia

Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs

• CDI

Table 7. Exclusion Criteria for COLO and HYST SSI: Complex 30-day model (ACHs)

General SSI exclusions

Refer to <u>Table 1</u> for general exclusion criteria

Data from the following facility types: Ambulatory Surgery Centers (ASCs), Long-Term Acute Care Hospitals (HOSP-LTAC), Pediatric Long-Term Acute Care Hospitals (HOSP-PEDLTAC), and Inpatient Rehabilitation Hospitals (HOSP-REHAB)

SSIs not meeting the inclusion criteria for the Complex 30-day model SSI SIR numerator

Event and patient-level exclusions

SSI with infection present at time of surgery (PATOS) excluded from SIR numerator and procedures to which its linked is excluded from SIR denominator

Sex is missing (or not reported)

Exclusions due to potential data quality issues or outliers

Patient age at time of procedure is less than 0 or greater than 109

Procedure duration is under 5 minutes or greater than five times the interquartile range (IQR5) duration for that procedure categories. See <u>the list of IQR5 duration</u> by procedure categories (based on the 2022 national aggregate data)

Patient age at time of procedure is greater than or equal to 18 and Patient BMI is less than 12 or greater than 60

Sex is missing (or not reported)

Total number of facility beds is missing

Records that are missing a value for any risk factor used in the risk adjustment model. View the model details:

- <u>COLO</u>
- <u>HYST</u>

Exclusion Rules for 2022 Baseline SIRs: Critical Access Hospitals (CAHs)

Table 8. Exclusion Criteria for all Device-Associated HAI SIRs (CAHs)

General Exclusion Criteria for all CLABSI and CAUTI SIRs

Excludes data from all non-acute locations: Inpatient Hospice, Chronic Alzheimer's Unit, Chronic Rehabilitation Unit, Chronic Behavioral Health/Psych Unit, Chronic Care Unit, Ventilator Dependent Unit.

Please see the locations chapter for more information on CDC Location mapping and information: <u>https://www.cdc.gov/nhsn/pdfs/pscmanual/15locationsdescriptions_current.pdf</u>

Certain locations are excluded from each of the device-associated HAI SIRs. More information is found in the tables below, or can be found in the "Locations in the Device-Associated SIRs" spreadsheet, here: https://www.cdc.gov/nhsn/pdfs/cdc-location-list-da-models-508.pdf

Denominator records with a missing value for corresponding device days: central line days (CLABSI) or urinary catheter days (CAUTI).

Table 9. Exclusion Criteria for CLABSI SIRs (CAHs)

Exclusion Criteria for CLABSI SIR
Refer to Table 1 and Table 8 for general exclusion criteria
Denominator records with a missing value for central line days. This includes missing temporary and/or
permanent central line days from SCA and oncology locations.
The following events are excluded from the CLABSI SIR numerator: Mucosal Barrier Injury Laboratory-
Confirmed Bloodstream Infection (MBI-LCBI), Extracorporeal life support (ECMO) and Ventricular Assist
Device (VAD) BSI events, Munchausen Syndrome by Proxy (MSBP), Epidermolysis bullosa (EB), Patient self-
injection, and Pus at vascular access site.
Excludes data from any location type that is not included in the following list: Medical Cardiac Critical Care,
Medical Critical Care, Medical-Surgical Critical Care, Mixed Age Mixed Acuity Unit, Adult Mixed Acuity Unit,
Oncology Step Down Unit, Solid Organ Transplant Specialty Care Area, Jail Unit, Labor/Delivery/Recovery/
Postpartum Suite, Medical Ward, Medical-Surgical Ward, Orthopedic Ward, Postpartum Ward, Surgical Ward,
Telemetry Ward
Refer to the "Locations in the Device-Associated SIRs" spreadsheet, here:
https://www.cdc.gov/nhsn/pdfs/cdc-location-list-da-models-508.pdf

Records that are missing a value for any risk factor used in the risk adjustment model. <u>View the model</u> details

Table 10. Exclusion Criteria for CAUTI SIRs (CAHs)

Exclusion Criteria for CAUTI SIR
Refer to <u>Table 1</u> and <u>Table 8</u> for general exclusion criteria
Records that are missing a value for any risk factor used in the risk adjustment model. View the model
<u>details</u>

Table 11. Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs (CAHs)

Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs

Refer to Table 1 for general exclusion criteria

Events will be excluded from the SIR numerators that do not meet the inclusion criteria for the <u>MRSA</u> <u>Bacteremia</u> and <u>CDI</u> LabID Event SIR numerators.

Denominator records with missing summary data: this includes missing (CDI/MRSA) monthly patient days and (CDI/MRSA) admissions and/or missing CDI test method for the quarter.

Records that are missing a value for any risk factor used in the risk adjustment model. View the model details:

- MRSA Bacteremia
- <u>CDI</u>

Exclusion Criteria for COLO and HYST SSI: Complex 30-day model (CAHs)

The same exclusion criteria that were listed for Acute Care Hospitals apply to Critical Access Hospitals. Please refer to Table 1 for the <u>all-model exclusion criteria</u>, and to Table 3 for the Complex 30-day <u>SSI exclusion criteria</u>.

Exclusion Rules for 2022 Baseline SIRs:

Long-Term Acute Care Hospitals (LTACHs)

Table 12. Exclusion Criteria for CLABSI SIR (LTACHs)

Exclusion Criteria for CLABSI SIR

Refer to <u>Table 1</u> for general exclusion criteria

Denominator records with a missing value for central line days

The following events are excluded from the CLABSI SIR numerator: Mucosal Barrier Injury Laboratory-Confirmed Bloodstream Infection (MBI-LCBI), Extracorporeal life support (ECMO) and Ventricular Assist Device (VAD) BSI events, Munchausen Syndrome by Proxy (MSBP), Epidermolysis bullosa (EB), Patient selfinjection, and Pus at vascular access site.

Excludes data from all locations <u>except</u>: Long-Term Acute Care Intensive Care Units, Long-Term Acute Care Wards, and Long-Term Acute Care Pediatric Wards

Records that are missing a value for any risk factor used in the risk adjustment model. <u>View the model</u> details

Table 13. Exclusion Criteria for CAUTI SIR (LTACHs)

Exclusion Criteria for CAUTI SIR
Refer to Table 1 for general exclusion criteria
Denominator records with a missing value for urinary catheter days
Records that are missing a value for any risk factor used in the risk adjustment model. View the model
details

Table 14. Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs (LTACHs)

Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs

Refer to Table 1 for general exclusion criteria

Denominator records with missing summary data: this includes missing (CDI/MRSA) monthly patient days and (CDI/MRSA) admissions.

Events will be excluded from the SIR numerators that do not meet the inclusion criteria for the <u>MRSA</u> <u>Bacteremia</u> and <u>CDI LabID</u> Event SIR numerators.

Records that are missing a value for any risk factor used in the risk adjustment model. View the model details:

- MRSA Bacteremia
- <u>CDI</u>

Exclusion Rules for 2022 Baseline SIRs: Inpatient Rehabilitation Facilities (IRFs)

Table 15. Exclusion Criteria for CLABSI and CAUTI SIRs (IRFs)

General Exclusion Criteria for CLABSI and CAUTI SIRs

Refer to Table 1 for general exclusion criteria

Denominator records with a missing value for corresponding device days: central line days (CLABSI) or urinary catheter days (CAUTI)

The following events are excluded from the CLABSI SIR numerator: Mucosal Barrier Injury Laboratory-Confirmed Bloodstream Infection (MBI-LCBI), Extracorporeal life support (ECMO) and Ventricular Assist Device (VAD) BSI events, Munchausen Syndrome by Proxy (MSBP), Epidermolysis bullosa (EB), Patient selfinjection, and Pus at vascular access site.

CAUTI data from the following facility types: Military Hospital (HOSP-MIL), Oncology Hospital (HOSP-ONC), Veteran's Affairs Hospital (HOSP-VA), Women's Hospital (HOSP-WOM), Women's/Children's Hospital (HOSP-WOMCHILD), and Pediatric Long-Term Acute Care Hospital (HOSP-PEDLTAC)

Records that are missing a value for any risk factor used in the risk adjustment model. View the model details:

- <u>CLABSI</u>
- <u>CAUTI</u>

Table 16. Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs (IRFs)

Exclusion Criteria for MRSA Bacteremia and CDI LabID SIRs

Refer to Table 1 for general exclusion criteria

Events will be excluded from the SIR numerators that do not meet the inclusion criteria for the <u>MRSA</u> <u>Bacteremia</u> and <u>CDI LabID</u> Event SIR numerators.

Denominator records with missing summary data: this includes missing (CDI/MRSA) monthly patient days and (CDI/MRSA) admissions and/or missing CDI test method for the quarter.

Records that are missing a value for any risk factor used in the risk adjustment model. View the model details:

- MRSA Bacteremia
- <u>CDI</u>

Section 3: Risk Adjustment Factors Included in the SIR Calculation

Return to Table of Contents

Note: This section contains several acronyms and abbreviations. For descriptions of these terms, please reference <u>the</u> <u>glossary</u> at the end of the document.

This section contains information on the risk factors used in the calculation of the number of predicted events for each HAI and facility type currently available in NHSN under the 2022 baseline. This information is provided in order to aid in the interpretation of the SIR calculations produced by NHSN. The tables displayed in this section list the variables included in each risk adjustment model, as well as parameter estimates and standard errors. For 2022 baseline models, most risk adjustment variables are broken into different levels, or categories (i.e., categorical variables). See below for examples of each variable type. Typically, the level with the lowest risk (for SSI models) or lowest rate (for other HAI models) are chosen as the reference group.

<u>Categorical variables:</u>

*Example: "medical school affiliation" in the MRSA ACH model, as shown in table below. For full model details refer to page 32*⁵

<u>Parameter</u>	<u>Parameter</u> <u>Estimate</u>	<u>Standard</u> <u>Error</u>	<u>P-value</u>
Medical school affiliation: Major	0.1188	0.0264	< 0.0001
Medical school affiliation: No affiliation, Undergraduate, Graduate	REFERENT	-	-

Variables are categorized based on significant differences in HAI risk between the categories. Parameter estimates reflect the nature of the relationship between the variable and the risk of HAI. In the case of categorical variables, the risk of HAI in an individual category is compared to the risk of HAI in the "referent" category. A positive parameter estimate indicates that the risk of HAI in that category (and therefore, the number of predicted HAIs) is higher compared to the risk of HAI in the referent category. A negative parameter estimate indicates that the risk of HAI in the referent category. A negative parameter estimate indicates that the risk of HAI in the referent category. A negative parameter estimate indicates that the HAI risk in that category is lower compared to the HAI risk in the "referent" category.

Derived variables:

Example: The "proportion of total beds that are ICU" in the MRSA ACH model, as shown in table below. For full model details refer to <u>page 35</u>

Parameter_	Parameter	Standard	P-value
	<u>Estimate</u>	<u>Error</u>	
Proportion of total beds that are ICU: ≥0.232	0.4254	0.0714	<0.0001
Proportion of total beds that are ICU: 0.161-0.231	0.2758	0.0685	<0.0001
Proportion of total beds that are ICU: 0.061-0.160	0.1856	0.0667	0.0054
Proportion of total beds that are ICU: 0-0.060	REFERENT	-	-

Derived variables are variables created from two or more variables, and may involve summation, division, or multiplication. Parameter estimates are interpreted as above.

Risk Adjustment Factors Included in the SIR Calculation: Acute Care Hospitals (ACHs)

CLABSI – Central Line-Associated Bloodstream Infection

The number of predicted CLABSIs is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). Refer to Table 1 below for a list of location types included in the ACH CLABSI SIR; if an inpatient location is not included in the model table below, then data from that location type will be excluded from the SIR due to insufficient 2022 baseline data. The CLABSI ACH SIR comprises of three different risk adjustment models: one for ICU/Wards, one for NICUs, and one for SCA/Oncology locations. Please see below for the location type-specific model details.

The number of predicted CLABSIs calculated under the 2022 baseline is risk adjusted based on the following variables found to be statistically significant predictors:

Table 1. CLABSI Risk Adjustment for ICU and Ward Locations (ACHs)

Link to the exclusions table

Parameter	Parameter	Standard	P-value
	Estimate	Error	
Intercept	-8.8456	0.2347	<.0001
CDC Location Code: Critical Care Group 1	1.0072	0.0887	<.0001
Medical Cardiac Critical Care- IN:ACUTE:CC:C			
Pediatric Medical-Surgical Critical Care-IN:ACUTE:CC:MS_PED			
Pediatric Trauma Critical Care- IN:ACUTE:CC:T_PED			
Trauma Critical Care- IN:ACUTE:CC:T			
CDC Location Code: Critical Care Group 2	0.4236	0.0941	<.0001
Surgical Cardiothoracic Critical Care- IN:ACUTE:CC:CT			
CDC Location Code: Critical Care Group 3	1.1416	0.0853	<.0001
Burn Critical Care- IN:ACUTE:CC:B			
Medical Critical Care- IN:ACUTE:CC:M			
Onsite Overflow Critical Care-IN:ACUTE:CC:OF_ONSITE			
Pediatric Burn Critical Care-IN:ACUTE:CC:B_PED			
Pediatric Medical Critical Care-IN:ACUTE:CC:M_PED			
Pediatric Respiratory Critical Care- IN:ACUTE:CC:R_PED			
Pediatric Surgical Cardiothoracic Critical Care- IN:ACUTE:CC:CT_PED			
Prenatal Critical Care- IN:ACUTE:CC:PNATL			
Respiratory Critical Care-IN:ACUTE:CC:R			
CDC Location Code: Critical Care Group 4	0.9184	0. 0827	<.0001
Medical-Surgical Critical Care-IN:ACUTE:CC:MS			
Neurologic Critical Care-IN:ACUTE:CC:N			
Neurosurgical Critical Care- IN:ACUTE:CC:NS			
Surgical Critical Care- IN:ACUTE:CC:S			
Pediatric Surgical Critical Care- IN:ACUTE:CC:S_PED			
CDC Location Code: Mixed Acuity Group	0.6581	0. 0929	<.0001
Adult Mixed Acuity Unit- IN:ACUTE:MIXED:ALL_ADULT			
Mixed Age Mixed Acuity Unit- IN:ACUTE:MIXED:ALL			
Pediatric Mixed Acuity Unit- IN:ACUTE:MIXED:ALL_PEDS			

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CDC Location Code: Step Down Group	0.6617	0.0857	<.0001
Adult Step-Down Unit- IN:ACUTE:STEP			
Pediatric Step-Down Unit- IN:ACUTE:STEP:PED			
Step-Down Neonatal Nursery- IN:ACUTE:STEP:NURS			
CDC Location Code: Ward Group 1	0.5714	0.0840	<.0001
Gerontology Ward- IN:ACUTE:WARD:GNT	0.3714	0.0040	10001
Medical Ward- IN:ACUTE:WARD:M			
Onsite Overflow Ward- IN:ACUTE:WARD:OF_ONSITE			
Pediatric Surgical ward- IN:ACUTE:WARD:S_PED	0.70.40	0.0000	
CDC Location Code: Ward Group 3	0.7840	0.0926	<.0001
Ear, Nose, Throat Ward- IN:ACUTE:WARD: ENT			
Gastrointestinal Ward- IN:ACUTE:WARD:GI			
Genitourinary Ward- IN:ACUTE:WARD:GU			
Jail Unit- IN:ACUTE:WARD:JAL			
Pediatric Genitourinary Ward- IN:ACUTE:WARD:GU_PED			
Orthopedic Trauma Ward- IN:ACUTE:WARD:T_ORT			
Pediatric Medical- Surgical Ward- IN:ACUTE:WARD: MS_PED			
Pediatric Medical Ward- IN:ACUTE:WARD:M_PED			
Pulmonary Ward- IN:ACUTE:WARD:PULM			
CDC Location Code: Ward Group 4	0.4028	0.0822	<.0001
Antenatal Care Ward- IN:ACUTE:WARD: ANTENAT			
Burn Ward- IN:ACUTE:WARD:B			
Gynecology Ward- IN:ACUTE:WARD:GYN			
Medical-Surgical Ward- IN:ACUTE:WARD:MS			
Neurology Ward- IN:ACUTE:WARD:N			
Neurosurgical Ward- IN:ACUTE:WARD:NS			
Pediatric Burn Ward- IN:ACUTE:WARD:B PED			
Plastic Surgery Ward- IN:ACUTE:WARD:PLS			
Surgical Ward- IN:ACUTE:WARD: ES			
Telemetry Ward- IN:ACUTE: WARD: TEL			
Vascular Surgery Ward-IN:ACUTE:WARD:VS			
	REFERENT		
CDC Location Code: Ward Group 2	KEFEKENI	-	-
Adolescent Behavioral Health Ward- IN:ACUTE:WARD: BHV_ADOL			
Behavioral Health/Psych Ward- IN:ACUTE:WARD:BHV			
Labor and Delivery Ward-IN:ACUTE:WARD:LD			
Labor, Delivery, Recovery, Postpartum Suite- IN:ACUTE:WARD:LD_PP			
Orthopedic ward-IN:ACUTE:WARD: ORT			
Pediatric Behavioral Health Ward- IN:ACUTE:WARD:BHV_PED			
Pediatric Neurology Ward- IN:ACUTE:WARD:N_PED			
Pediatric Neurosurgical ward- IN:ACUTE:WARD:NS_PED			
Pediatric Orthopedic Ward- IN:ACUTE:WARD: ORT_PED			
Pediatric Rehabilitation Ward- IN:ACUTE:WARD:REHAB_PED			
Postpartum Ward- IN:ACUTE:WARD:PP			
Rehabilitation Ward (within Hospital)- IN:ACUTE:WARD:REHAB			
Stroke (Acute) Ward- IN:ACUTE:WARD:STRK			
Well Newborn Nursery (Level I)- IN:ACUTE:WARD:NURS			
Medical School Affiliation ¹ : Graduate/Major	0.0989	0.0253	<.0001
Medical School Affiliation ¹ : Undergraduate/Non-teaching	REFERENT	-	-
Facility type (based on NHSN enrollment):	0.7286	0.2220	0.0010
General Acute Care Hospital (HOSP-GEN)	0.7200	0.2220	2.0010
Children's Hospital (HOSP-CHLD)			
Military Hospital (HOSP-CHLD)			
Veteran's Affairs Hospital (HOSP-VA)			

Women's and Children's Hospital (HOSP-WOMCHILD)			
Facility type (based on NHSN enrollment):	REFERENT	-	-
Orthopedic Hospital (HOSP-ORTHO)			
Surgical Hospital (HOSP-SURG)			
Women's Hospital (HOSP-WOM)			
Average length of stay ² : ≥5.7 days	0.2042	0.0238	<.0001
Average length of stay ² : 4.8-5.6 days	0.0946	0.0241	<.0001
Average length of stay ² : 1-4.7 days	REFERENT	-	-
Total number of beds ¹ : ≥425 beds	0.1628	0.0355	<.0001
Total number of beds ¹ : 138–424 beds	0.0784	0.0323	0.0151
Total number of beds ¹ : <138 beds	REFERENT	-	-
Proportion of total beds that are ICU^3 : ≥ 0.189	0.0747	0.0197	0.0001
Proportion of total beds that are ICU ³ : <0.189	REFERENT	-	-

¹Medical school affiliation and number of beds are reported on the <u>Annual Hospital Survey</u>.

² Average length of stay is calculated as # annual patient days / # annual admissions, as reported on the <u>Annual Hospital</u> <u>Survey</u>.

³ Proportion of beds that are ICU is calculated as: # of ICU beds / total # of beds as reported on the <u>Annual Hospital Survey</u>.

Table 2. CLABSI Risk Adjustment for Neonatal Intensive Care Units (Level II/III, Level III, and Level IV NICU locations in ACHs)

Link to the exclusions table

	Parameter	Standard	
Parameter	<u>Estimate</u>	<u>Error</u>	<u>P-value</u>
Intercept	-7.7658	0.0887	<.0001
Birthweight A: ≤750 grams	1.3132	0.0836	<.0001
Birthweight B: 751-1000 grams	0.8392	0.0958	<.0001
Birthweight C: 1001-1500 grams	0.2747	0.1038	0.0081
Birthweight D and E: 1501-2500 grams and >2500 grams	REFERENT	-	-
Number of ICU beds ¹ : <56	0.3514	0.0936	0.0002
Number of ICU beds ¹ : ≥56	REFERENT	-	-
Average length of stay ² : ≥4.9 days	0.1957	0.0760	0.0100
Average length of stay ² : 1-4.8 days	REFERENT	-	-

¹Number of ICU beds is reported on the <u>Annual Hospital Survey</u>.

² Average length of stay is calculated as # annual patient days / # annual admissions, as reported on the <u>Annual Hospital</u> <u>Survey</u>.

Table 3. CLABSI Risk Adjustment for SCAs (Specialty Care Areas) and Oncology Units in ACHs

Link to the exclusions table

Parameter	<u>Parameter</u> <u>Estimate</u>	<u>Standard</u> <u>Error</u>	<u>P-value</u>
Intercept	-8.2924	0.1929	<.0001
CDC Location Code: Critical Care Wards	0.5247	0.2233	0.0188
Oncology Medical Critical Care- IN:ACUTE:CC:ONC_M			
Oncology Medical-Surgical Critical Care- IN:ACUTE:CC:ONC_MS			
Oncology Pediatric Critical Care- IN:ACUTE:CC:ONC_PED			
Oncology Surgical Critical Care- IN:ACUTE:CC:ONC_S			
CDC Location Code: Mixed/SCA/Step Wards	0.3969	0.1739	0.0225

Dialysis Specialty Care Area- IN:ACUTE:SCA:DIAL			
Oncology Mixed Acuity Unit (all ages)- IN:ACUTE:MIXED:ONC			
Oncology Step Down Unit- IN:ACUTE:STEP:ONC			
Pediatric Solid Organ Transplant Specialty Care Area-			
IN:ACUTE:SCA:SOTP_PED			
Solid Organ Transplant Specialty Care Area- IN:ACUTE:SCA:SOTP			
CDC Location Code: Hematology-Oncology Wards	0.5186	0.1521	0.0006
General Hematology-Oncology Ward- IN:ACUTE:WARD:ONC_HONC			
Pediatric General Hematology-Oncology Ward-			
IN:ACUTE:WARD:ONC_HONC_PED			
CDC Location Code: Hematopoietic Wards	0.8711	0.1575	<.0001
Oncology Hematopoietic Stem Cell Transplant Ward- IN:ACUTE:WARD:			
ONC_HSCT			
Oncology Pediatric Hematopoietic Stem Cell Transplant Ward-			
IN:ACUTE:WARD: ONC_HSCT_PED			
CDC Location Code: Leukemia Wards	0.7364	0.1762	<.0001
Oncology Leukemia Ward- IN:ACUTE:WARD: ONC_LEUK			
Oncology Leukemia/Lymphoma Ward- IN:ACUTE:WARD: ONC_LL			
Oncology Lymphoma – IN:ACUTE:WARD:ONC_LYMPH			
CDC Location Code: Solid Tumor Ward	REFERENT	-	-
Oncology Solid Tumor Ward- IN:ACUTE:WARD:ONC_ST			
Number of ICU beds ¹ : <35 beds	0.2868	0.0734	<.0001
Number of ICU beds ¹ : ≥146 beds	0.1411	0.0565	0.0124
Number of ICU beds ¹ : 35-145 beds	REFERENT	-	-
Central line type: Temporary	0.3001	0.0499	<.0001
Central line type: Permanent	REFERENT	-	-
Average length of stay ² : ≥4.4 days	0.2831	0.0976	0.0037
Average length of stay ² : 1-4.3 days	REFERENT	-	-
Medical school affiliation ¹ : Major	0.2859	0.0713	<.0001
Medical school affiliation ¹ : Graduate/undergraduate/non-teaching	REFERENT	-	-

¹Number of ICU beds and medical school affiliation are reported on the <u>Annual Hospital Survey</u>.

² Average length of stay is calculated as # annual patient days / # annual admissions, as reported on the <u>Annual Hospital</u> <u>Survey</u>.

Note: For data from Specialty Care Areas and Oncology locations, CLABSI events are eligible for inclusion in the SIR regardless of the type of central line (temporary or permanent). The total central line days (numcldays) used in the SIR calculation are summed from temporary and permanent central line days reported.

MBI-LCBI – Mucosal Barrier Injury Laboratory-Confirmed Bloodstream Infection

The number of predicted MBI-LCBIs is calculated using a negative binomial regression model (see <u>page 8</u> above for more information) and is only available for acute care hospitals. Only CLABSI events reported to NHSN as mucosal barrier injury (MBI-LCBI) are included in the numerator of the MBI-LCBI SIR. Refer to Table 1 below for a list of location types included in the Acute Care Hospital MBI-LCBI SIR; if an inpatient location is not included in the model table below, then data from that location type will be excluded from the SIR due to insufficient 2022 baseline data. The MBI-LCBI ACH comprises of two risk adjustment models: one for ICU/Ward locations, and one for SCA/Oncology locations. Please see below for the location type-specific model details.

The number of predicted MBI-LCBI events calculated under the 2022 baseline is risk adjusted based on the following variables found to be statistically significant predictors:

Table 1. MBI-LCBI Risk Adjustment for SCAs (Specialty Care Areas) and Oncology Units in ACHs	
Link to the exclusions table	

Parameter	Parameter	Standard	P-value
	Estimate	Error	<u>r-value</u>
Intercept	-12.2426	0.4898	<.0001
CDC Location Code: Oncology Critical Care Wards	2.6364	0.4479	<.0001
Oncology Surgical Critical Care- IN:ACUTE:CC:ONC S	2.0001	0.1175	1.0001
Oncology Medical Critical Care- IN:ACUTE:CC:ONC_M			
Oncology Medical-Surgical Critical Care- IN:ACUTE:CC:ONC_MS			
Oncology Pediatric Critical Care- IN:ACUTE:CC:ONC_PED			
CDC Location Code: Oncology Mixed Acuity Ward-	2.6230	0.6205	<.0001
IN:ACUTE:MIXED:ONC			
CDC Location Code: Oncology Step Down Ward-	2.9573	0.4472	<.0001
IN:ACUTE:STEP:ONC			
CDC Location Code: General Hematology-Oncology Ward-	2.9721	0.3718	<.0001
IN:ACUTE:WARD: ONC HONC			
 CDC Location Code: Pediatric General Hematology-Oncology Ward-	3.3671	0.3794	<.0001
IN:ACUTE:WARD: ONC_HSCT_PED			
CDC Location Code: Oncology Hematopoietic Stem Cell Transplant	3.9758	0.3759	<.0001
Ward- IN:ACUTE:WARD: ONC HSCT			
 CDC Location Code: Oncology Pediatric Hematopoietic Stem Cell	3.3745	0.4193	<.0001
Transplant Ward- IN:ACUTE:WARD: ONC HSCT PED			
CDC Location Code: Oncology Leukemia/Lymphoma Wards	3.6915	0.3944	<.0001
Oncology Leukemia Ward- IN:ACUTE:WARD: ONC LEUK			
Oncology Leukemia-Lymphoma Ward- IN:ACUTE:WARD: ONC_LL			
Oncology Lymphoma Ward- IN:ACUTE:WARD:ONC_ LYMPH			
CDC Location Code: Oncology Solid Tumor Ward-	1.3490	0.4769	0.0047
IN:ACUTE:WARD:ONC_ST			
CDC Location Code: Specialty Care Areas	REFERENT	-	-
Dialysis Specialty Care Area- IN:ACUTE:SCA:DIAL			
Pediatric Solid Organ Transplant Specialty Care Area-			
IN:ACUTE:SCA:SOTP_PED			
Solid Organ Transplant Specialty Care Area- IN:ACUTE:SCA:SOTP			
Central Line Type: Temporary	0.6534	0.0660	<.0001
Central Line Type: Permanent	REFERENT	-	-
Number of ICU beds ¹ : <20 beds	0.8727	0.1485	<.0001
Number of ICU beds ¹ : ≥81 beds	0.2888	0.0890	0.0012

Number of ICU beds ¹ : 20-80 beds	REFERENT	-	-
Average length of stay ² : ≥4.9 days	0.2676	0.0977	0.0062
Average length of stay ² : <u>1-</u> <4.8 days	REFERENT	-	-
Medical school affiliation ¹ : Major	0.9476	0.2578	0.0002
Medical school affiliation ¹ : Non-teaching/Graduate	0.6364	0.2690	0.0180
Medical school affiliation ¹ : Undergraduate	REFERENT	-	-
Facility type: Other Specialty Hospital	0.5306	0.1613	0.0010
General Acute Care Hospital (HOSP-GEN)			
Children's Hospital (HOSP-CHLD)			
Military Hospital (HOSP-MIL)			
Veteran's Affairs Hospital (HOSP-VA)			
Women's and Children's Hospital (HOSP-WOMCHILD)			
Facility type: Oncology Hospital (HOSP-ONC)	REFERENT	-	-

¹Medical school affiliation and number of ICU beds are reported on the <u>Annual Hospital Survey</u>.

² Average length of stay is calculated as # annual patient days / # annual admissions, as reported on the <u>Annual Hospital</u> <u>Survey</u>.

Note: For data from Specialty Care Areas and Oncology locations, MBI-LCBIs are eligible for inclusion in the SIR regardless of the type of central line (temporary or permanent). The total central line days (numcldays) used in the SIR calculation are summed from temporary and permanent central line days reported.

Table 2. MBI-LCBI Risk Adjustment for ICUs and Wards (non-Specialty Care Areas in ACHs)

Link to the exclusions table

Parameter	Parameter	Standard	P-value
	Estimate	Error	
Intercept	-13.4799	0.5059	<.0001
CDC Location Code: Critical Care Group 1	3.0199	0.4631	<.0001
Medical Critical Care- IN:ACUTE:CC:M			
Pediatric Medical Critical Care- IN:ACUTE:CC:M:PED			
Pediatric Medical-Surgical Critical Care- IN:ACUTE:CC:MS_PED			
Pediatric Respiratory Critical Care- IN:ACUTE:CC:R_PED			
Prenatal Critical Care- IN:ACUTE:CC:OF_ONSITE			
Respiratory Critical Care- IN:ACUTE:CC:R			
CDC Location Code: Critical Care Group 2	2.4569	0.4327	<.0001
Burn Critical Care- IN:ACUTE:CC:B			
Medical Cardiac Critical Care- IN:ACUTE:CC:C			
Medical-Surgical Critical Care- IN:ACUTE:CC:MS			
Neurosurgical Critical Care- IN:ACUTE:CC:NS			
Surgical Critical Care- IN:ACUTE:CC:S			
Trauma Critical Care- IN:ACUTE:CC:T			
CDC Location Code: Critical Care Group 3	1.1599	0.4260	0.0065
Neurologic Critical Care- IN:ACUTE:CC:N			
Pediatric Burn Critical Care- IN:ACUTE:CC:B_PED			
Pediatric Surgical Cardiothoracic Critical Care - IN:ACUTE:CC:CT_PED			
Pediatric Surgical Critical Care- IN:ACUTE:CC:S_PED			
Pediatric Trauma Critical Care- IN:ACUTE:CC:T_PED			
Prenatal Critical Care – IN:ACUTE:CC:PNATL			
Surgical Cardiothoracic Critical Care- IN:ACUTE:CC:CT			
CDC Location Group: Mixed Acuity Group	1.6902	0.4625	0.0003
Adult Mixed Acuity Unit- IN:ACUTE:MIXED: ALL_ADULT			
Mixed Age Mixed Acuity Unit- IN: ACUTE:MIXED:ALL			
Pediatric Mixed Acuity Unit- IN:ACUTE:MIXED: ALL_PEDS			

CDC Location Group: Step Down Group	1.5979	0.4366	0.0003
Adult Step-Down Unit- IN:ACUTE:STEP			
Pediatric Step-Down Unit - IN:ACUTE:STEP:PED			
Step Down Neonatal Unit- IN:ACUTE:STEP:NURS			
CDC Location Group: Ward Group 1	3.0999	0.4433	<0.0001
Jail Unit- IN:ACUTE:WARD:JAL			
Pediatric Medical-Surgical Ward- IN:ACUTE:WARD: MS_PED			
Pediatric Medical Ward- IN:ACUTE:WARD:M_PED			
CDC Location Group: Ward Group 2	2.0666	0.4253	<0.0001
Genitourinary Ward- IN:ACUTE:WARD:GU			
Medical Ward- IN:ACUTE:WARD:M			
Pediatric Genitourinary Ward- IN:ACUTE:WARD:GU_PED			
CDC Location Group: Ward Group 3	1.3969	0.4238	0.0010
Gerontology Ward- IN:ACUTE:WARD:GNT			
Gynecology Ward- IN:ACUTE:WARD:GYN			
Medical-Surgical Ward- IN:ACUTE:WARD:MS			
Pediatric Surgical Ward- IN:ACUTE:WARD:S_PED			
Pulmonary Ward- IN:ACUTE:WARD:PULM			
Surgical Ward- IN:ACUTE:WARD:S			
Telemetry Ward- IN:ACUTE:WARD:TEL			
CDC Location Group: Ward Group 4	REFERENT	-	-
Adolescent Behavioral Health Ward- IN:ACUTE:WARD:BHV_ADOL			
Antenatal Care Ward- IN:ACUTE:WARD: ANTENAT			
Behavioral Health/Psych Ward- IN:ACUTE:WARD:BHV			
Burn Ward- IN:ACUTE:WARD:B			
Ear, Nose, Throat Ward- IN:ACUTE:WARD:ENT			
Gastrointestinal Ward- IN:ACUTE:WARD:GI			
Labor and Delivery Ward- IN:ACUTE:WARD:LD			
Labor, Delivery, Recovery, Postpartum Suite- IN:ACUTE:WARD:LD_PP			
Neurology Ward- IN:ACUTE:WARD:N			
Neurosurgical Ward- IN:ACUTE:WARD:NS			
Onsite Overflow Ward- IN:ACUTE:WARD:OF ONSITE			
Orthopedic Ward- IN:ACUTE:WARD:ORT			
Orthopedic Trauma Ward- IN:ACUTE:WARD:T_ORT			
Pediatric Burn Ward- IN:ACUTE:WARD:B_PED			
Pediatric Behavioral Health Ward- IN:ACUTE:WARD:BHV PED			
Pediatric Neurology Ward- IN:ACUTE:WARD:N PED			
Pediatric Neurosurgical Ward- IN:ACUTE:WARD:NS PED			
Pediatric Orthopedic Ward- IN:ACUTE:WARD: ORT PED			
Pediatric Rehabilitation Ward- IN:ACUTE:WARD: REHAB_PED			
Plastic Surgery Ward- IN:ACUTE:WARD:PLS			
Postpartum Ward- IN:ACUTE:WARD:PP			
Rehabilitation Ward- IN:ACUTE:WARD:REHAB			
Stroke (Acute) Ward- IN:ACUTE:WARD:STRK			
Vascular Surgery Ward- IN:ACUTE:WARD:VS			
Well Newborn- Nursery- IN:ACUTE:WARD:NURS			
Medical School Affiliation ¹ : Graduate/Major	0.6208	0.1258	< 0.0001
Medical School Affiliation ¹ : Undergraduate/Non-teaching	REFERENT	-	-
Average Length of Stay ² : <u>1-</u> 2.6 days	0.8535	0.2456	0.0005
		0.2430	0.0005
Average Length of Stay ² : ≥2.7 days	REFERENT	-	-
Number of ICU beds ¹ : ≥11	1.0864	0.2818	0.0001
Number of ICU beds ¹ : <11	REFERENT	-	-

¹Medical school affiliation and number of ICU beds are reported on the <u>Annual Hospital Survey</u>.

² Average length of stay is calculated as # annual patient days / # annual admissions, as reported on the <u>Annual Hospital</u> <u>Survey</u>.

CAUTI – Catheter-Associated Urinary Tract Infection

The number of predicted CAUTIs is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). Refer to Table 1 below for a list of location types included in the Acute Care Hospital CAUTI SIR; if an inpatient location is not included in the model table below, then data from that location type will be excluded from the SIR due to insufficient 2022 baseline data.

The number of predicted CAUTIs calculated under the 2022 baseline is risk adjusted based on the following variables found to be statistically significant predictors:

Table 1. CAUTI Risk Adjustment (ACHs)

Link to the exclusions table

Parameter	<u>Parameter</u> <u>Estimate</u>	<u>Standard</u> <u>Error</u>	<u>P-value</u>
Intercept	-10.2778	0.1497	<0.0001
CDC Location Code: Critical Care Group 1 Burn Critical Care - IN:ACUTE:CC:B Pediatric Medical Critical Care - IN:ACUTE:CC:M_PED Pediatric Surgical Critical Care - IN:ACUTE:CC:S_PED	3.3086	0.1786	<0.0001
<u>CDC Location Code: Critical Care Group 2</u> Neurosurgical Critical Care - IN:ACUTE:CC:NS Oncology Pediatric Critical Care - IN:ACUTE:CC:ONC_PED Pediatric Burn Critical Care - IN:ACUTE:CC:B_PED Pediatric Medical-Surgical Critical Care -IN:ACUTE:CC:MS_PED Pediatric Trauma Critical Care - IN:ACUTE:CC:T_PED	2.9823	0.1493	<0.0001
<u>CDC Location Code: Critical Care Group 3</u> Neurologic Critical Care - IN:ACUTE:CC:N Pediatric Surgical Cardiothoracic Critical Care - IN:ACUTE:CC:CT_PED Trauma Critical Care - IN:ACUTE:CC:T	2.8105	0.1513	<0.0001
<u>CDC Location Code: Critical Care Group 4</u> Medical Cardiac Critical Care - IN:ACUTE:CC:C Medical Critical Care - IN:ACUTE:CC:M Medical-Surgical Critical Care - IN:ACUTE:CC:ONC_M Oncology Medical-Surgical Critical Care - IN:ACUTE:CC:ONC_MS Oncology Surgical Critical Care - IN:ACUTE:CC:ONC_S Onsite Overflow Critical Care - IN:ACUTE:CC:OF_ONSITE Prenatal Critical Care - IN:ACUTE:CC:PNATL Respiratory Critical Care - IN:ACUTE:CC:R Surgical Critical Care - IN:ACUTE:CC:S	2.4744	0.1424	<0.0001
CDC Location Code: Surgical Cardiothoracic Critical Care - IN:ACUTE:CC:CT	2.1594	0.1509	<0.0001
<u>CDC Location Code: Step Down Group</u> Adult Step-Down Unit - IN:ACUTE:STEP Oncology Step-Down Unit - IN:ACUTE:STEP:ONC Pediatric Step-Down Unit - IN:ACUTE:STEP:PED Step down Neonatal Nursery (Level II) - IN:ACUTE:STEP:NURS	2.6617	0.1441	<0.0001
<u>CDC Location Code: Ward Group 1</u> Burn Ward - IN:ACUTE:WARD:B Neurology Ward - IN:ACUTE:WARD:N Neurosurgical Ward - IN:ACUTE:WARD:NS	3.0615	0.1479	<0.0001

Oncology Hematopoietic Stem Cell Transplant Ward -			
IN:ACUTE:WARD:ONC_HSCT			
Oncology Pediatric General Hematology-Oncology Ward –			
IN:ACUTE:WARD:ONC_HONC_PED			
Oncology Pediatric Hematopoietic Stem Cell Transplant Ward –			
IN:ACUTE:WARD:ONC_HSCT_PED			
Oncology Leukemia-Lymphoma Ward - IN:ACUTE:WARD:ONC_LL			
Oncology Leukemia Ward - IN:ACUTE:WARD:ONC_LEUK			
Oncology Lymphoma Ward - IN:ACUTE:WARD:ONC_LYMPH			
Onsite Overflow Ward - IN:ACUTE:WARD:OF_ONSITE			
Orthopedic Trauma Ward - IN:ACUTE:WARD:T_ORT			
Pediatric Medical Ward - IN:ACUTE:WARD:M_PED			
Rehabilitation Ward (within Hospital) - IN:ACUTE:WARD:REHAB			
Stroke (Acute) Ward - IN:ACUTE:WARD:STRK	2 7004	0.4.400	0.0001
CDC Location Code: Ward Group 2	2.7991	0.1490	<0.0001
Dialysis Specialty Care Area - IN:ACUTE:SCA:DIAL			
Gerontology Ward - IN:ACUTE:WARD:GNT			
Jail Unit - IN:ACUTE:WARD:JAL			
General Hematology-Oncology Ward – IN:ACUTE:WARD:ONC_HONC			
Oncology Mixed Acuity Unit (all ages) - IN:ACUTE:MIXED:ONC			
Pulmonary Ward - IN:ACUTE:WARD:PULM			
CDC Location Code: Ward Group 3	2.6868	0.1429	<0.0001
Adult Mixed Acuity Unit - IN:ACUTE:MIXED:ALL_ADULT			
Medical Ward - IN:ACUTE:WARD:M			
Oncology Solid Tumor Ward - IN:ACUTE:WARD:ONC_ST			
CDC Location Code: Ward Group 4	2.6006	0.1455	< 0.0001
Gastrointestinal Ward - IN:ACUTE:WARD:GI			
Pediatric Mixed Acuity Unit - IN:ACUTE:MIXED:ALL_PEDS			
Telemetry Ward - IN:ACUTE:WARD:TEL			
Vascular Surgery Ward - IN:ACUTE:WARD:VS			
CDC Location Code: Ward Group 5	2.4987	0.1423	<0.0001
Adolescent Behavioral Health Ward - IN:ACUTE:WARD:BHV_ADOL			
Behavioral Health/Psych Ward - IN:ACUTE:WARD:BHV			
Ear, Nose, Throat Ward - IN:ACUTE:WARD:ENT			
Medical-Surgical Ward - IN:ACUTE:WARD:MS			
Mixed Age Mixed Acuity Unit - IN:ACUTE:MIXED:ALL			
Pediatric Behavioral Health Ward - IN:ACUTE:WARD:BHV_PED			
Pediatric Burn Ward - IN:ACUTE:WARD:B_PED			
Pediatric Genitourinary Ward - IN:ACUTE:WARD:GU_PED			
Pediatric Medical-Surgical Ward - IN:ACUTE:WARD:MS_PED			
Pediatric Neurology Ward - IN:ACUTE:WARD:N_PED			
Pediatric Neurosurgical Ward - IN:ACUTE:WARD:NS_PED			
Pediatric Orthopedic Ward - IN:ACUTE:WARD:ORT_PED			
Pediatric Rehabilitation Ward (within Hospital) –			
IN:ACUTE:WARD:REHAB_PED			
Pediatric Solid Organ Transplant Specialty Care Area -			
IN:ACUTE:SCA:SOTP_PED			
Plastic Surgery Ward - IN:ACUTE:WARD:PLS			
Orthopedic Ward - IN:ACUTE:WARD:ORT			
Solid Organ Transplant Specialty Care Area - IN:ACUTE:SCA:SOTP			
Surgical Ward - IN:ACUTE:WARD:S			
Well Baby Nursery (Level I) - IN:ACUTE:WARD:NURS			
CDC Location Code: Ward Group 6	2.0046	0.2049	< 0.0001
Genitourinary Ward - IN:ACUTE:WARD:GU			

CURRENT WARD IN ACUTEWAADDICVAL			
Gynecology Ward - IN:ACUTE:WARD:GYN			
Pediatric Surgical Ward - IN:ACUTE:WARD:S_PED			
CDC Location Code: Ward Group 7	REFERENT	-	-
Antenatal Care Ward - IN:ACUTE:WARD:ANTENAT			
Labor and Delivery Ward - IN:ACUTE:WARD:LD			
Labor, Delivery, Recovery, Postpartum Suite - IN:ACUTE:WARD:LD_PP			
Postpartum Ward - IN:ACUTE:WARD:PP			
Total number of beds ¹ : 68-176 beds	0.2577	0.0528	<0.0001
Total number of beds ¹ : 177-420 beds	0.3347	0.0506	<0.0001
Total number of beds ¹ : ≥421 beds	0.4996	0.0522	<0.0001
Total number of beds ¹ : 1-67 beds	REFERENT	-	-
Medical school affiliation ¹ : Major	0.2244	0.0204	<0.0001
Medical school affiliation ¹ : No affiliation, Undergraduate, Graduate	REFERENT	-	-
Average length of stay ² : 5.7-6.5 days	0.0581	0.0235	0.0135
Average length of stay ² : ≥6.6 days	0.1833	0.0239	<0.0001
Average length of stay ² : 1-5.6 days	REFERENT	-	-
Proportion of total beds that are ICU^3 : ≥ 0.141	0.0677	0.0189	0.0003
Proportion of total beds that are ICU ³ : <0.141	REFERENT	-	-

¹Total number of beds and medical school affiliation are reported on the <u>Annual Hospital Survey</u>.

² Average length of stay is calculated as # annual patient days / # annual admissions, as reported on the <u>Annual Hospital</u> <u>Survey.</u>

³ Proportion of beds that are ICU is calculated as: # of ICU beds / total # of beds, as reported on the <u>Annual Hospital Survey</u>.

MRSA – Methicillin-resistant *Staphylococcus aureus* Bacteremia Laboratory-Identified Events

The number of predicted MRSA bacteremia (blood specimen) LabID events is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). The MRSA bacteremia SIR for ACHs is only calculated on the facility-wide inpatient level and cannot be calculated for any individual location. *Note: IRF units within a hospital will receive a separate SIR*.

<u>Notes for Acute Care Hospitals</u>: Community-onset prevalence rates for each quarter of a calendar year, both inpatient and outpatient, are used to calculate the number of predicted events for the ACH MRSA Bacteremia LabID SIR. This requires complete reporting of factors related to the community-onset prevalence for each calendar quarter before the MRSA Bacteremia LabID Event SIR can be calculated.

Table 1. Summary of MRSA Bacteremia LabID Event SIR Numerator Inclusion Criteria (ACHs) Inclusion Criteria

- Inpatient MRSA blood specimen that is classified as "healthcare facility-onset" (HO). This means the specimen collection date is > 3 days after the patient's facility admission date, where the facility admission date is Day 1.
- The patient did not have any prior positive MRSA blood specimen LabID events in the previous 14 days in any inpatient location (including IRF/IPF units), emergency department, or 24-hour observation location. Specimen collection date is considered Day 1.
 - If a patient's second MRSA bacteremia event is on Day 14 or earlier (where the first specimen date is considered Day 1), the second event will not be counted in the SIR. This 14-day deduplication crosses calendar months.
 - If a patient has two positive specimens that were collected on the same date, the algorithm will treat the first event entered into NHSN as the patient's first event.

The number of predicted events calculated under the 2022 baseline for MRSA bacteremia is risk adjusted based on the following variables found to be statistically significant predictors of MRSA bacteremia incidence:

Table 2. MRSA Bacteremia LabID Event Risk Adjustment (ACHs)

Link to the exclusions table

	Parameter	<u>Standard</u>	
Parameter	<u>Estimate</u>	<u>Error</u>	P-value
Intercept	-11.6685	0.1598	<0.0001
Outpatient CO prevalence rate ¹ : ≥0.085 per 100 encounters	0.6112	0.0483	<0.0001
Outpatient CO prevalence rate ¹ : 0.064-0.084 per 100 encounters	0.4703	0.0492	<0.0001
Outpatient CO prevalence rate ¹ : 0.040-0.063 per 100 encounters	0.3537	0.0448	<0.0001
Outpatient CO prevalence rate ¹ : 0.013-0.039 per 100 encounters	0.2471	0.0437	<0.0001
Outpatient CO prevalence rate ¹ : <0.013 per 100 encounters or no			
applicable locations	REFERENT	-	-
Inpatient CO prevalence rate ² : ≥0.071 per 100 admissions	0.3538	0.0343	<0.0001
Inpatient CO prevalence rate ² : 0.042-0.070 per 100 admissions	0.2238	0.0374	<0.0001
Inpatient CO prevalence rate ² : 0.001-0.041 per 100 admissions	0.1259	0.0336	0.0002
Inpatient CO prevalence rate ² : 0 per 100 admissions	REFERENT	-	-
Average length of stay ³ : ≥5.2 days	0.7650	0.1211	< 0.0001
Average length of stay ³ : 4.8-5.1 days	0.6104	0.1238	<0.0001
Average length of stay ³ : 2.6-4.7 days	0.5149	0.1210	<0.0001

Average length of stay3: 1-2.5 daysREFERENT				
Proportion of total beds that are ICU3: 0.161-0.2310.27580.0685<0.0001Proportion of total beds that are ICU3: 0.061-0.1600.18560.06670.0054Proportion of total beds that are ICU3: 0-0.060REFERENTTotal number of beds3: \geq 670.2040.07830.0049Total number of beds3: 1-66REFERENTMedical school affiliation3: Major0.11880.0264<0.0011	Average length of stay ³ : 1-2.5 days	REFERENT	-	-
Proportion of total beds that are ICU3: 0.061-0.1600.18560.06670.0054Proportion of total beds that are ICU3: 0-0.060REFERENTTotal number of beds3: \geq 670.22040.07830.0049Total number of beds3: \geq 670.22040.07830.0049Total number of beds3: \geq 66REFERENTMedical school affiliation3: Major0.11880.0264<0.0001	Proportion of total beds that are ICU ³ : ≥0.232	0.4254	0.0714	<0.0001
Proportion of total beds that are ICU3: 0-0.060REFERENT-Total number of beds3: ≥670.22040.07830.0049Total number of beds3: 1-66REFERENTMedical school affiliation3: Major0.11880.0264<0.0011	Proportion of total beds that are ICU ³ : 0.161-0.231	0.2758	0.0685	<0.0001
Total number of beds³: ≥670.22040.07830.0049Total number of beds³: 1–66REFERENTMedical school affiliation³: Major0.11880.0264<0.0001	Proportion of total beds that are ICU ³ : 0.061-0.160	0.1856	0.0667	0.0054
Total number of beds ³ : 1–66REFERENT-Medical school affiliation ³ : Major0.11880.0264<0.0001	Proportion of total beds that are ICU ³ : 0-0.060	REFERENT	-	-
Medical school affiliation3: Major0.11880.0264<0.0001Medical school affiliation3: Graduate/Undergraduate/Non- teachingREFERENTFacility type (based on NHSN enrollment): General Acute Care Hospital (HOSP-GEN) Oncology Hospital (HOSP-ONC)0.24770.07990.0019Facility type (based on NHSN enrollment): General Acute Care Hospital (HOSP-ORC)0.24770.07990.0019Facility type (based on NHSN enrollment): Oncology Hospital (HOSP-ONC)REFERENTFacility type (based on NHSN enrollment): Oncology Hospital (HOSP-ONC)REFERENTFacility type (based on NHSN enrollment): Onchopedic Hospital (HOSP-ORTHO) Surgical Hospital (HOSP-ORTHO) Surgical Hospital (HOSP-SURG) Women's Hospital (HOSP-WOM) Women's and Children's HospitalREFERENT-	Total number of beds ³ : ≥67	0.2204	0.0783	0.0049
Medical school affiliation3: Graduate/Undergraduate/Non- teachingREFERENT-Facility type (based on NHSN enrollment): General Acute Care Hospital (HOSP-GEN) Oncology Hospital (HOSP-ONC)0.24770.07990.0019Facility type (based on NHSN enrollment): Oncology Hospital (HOSP-ONC)REFERENTFacility type (based on NHSN enrollment): Oncology Hospital (HOSP-CHLD) Military Hospital (HOSP-CHLD) Military Hospital (HOSP-ORTHO) Surgical Hospital (HOSP-SURG) Women's Hospital (HOSP-WOM) Women's and Children's Hospital	Total number of beds ³ : 1–66	REFERENT	-	-
teachingImage: constraint of the section	Medical school affiliation ³ : Major	0.1188	0.0264	< 0.0001
Facility type (based on NHSN enrollment):0.24770.07990.0019General Acute Care Hospital (HOSP-GEN) Oncology Hospital (HOSP-ONC)REFERENTFacility type (based on NHSN enrollment): Children's Hospital (HOSP-CHLD) Military Hospital (HOSP-MIL) Orthopedic Hospital (HOSP-ORTHO) Surgical Hospital (HOSP-SURG) Women's Hospital (HOSP-WOM) Women's and Children's HospitalREFERENT-	Medical school affiliation ³ : Graduate/Undergraduate/Non-	REFERENT	-	-
General Acute Care Hospital (HOSP-GEN) Oncology Hospital (HOSP-ONC)REFERENT-Facility type (based on NHSN enrollment): Children's Hospital (HOSP-CHLD) Military Hospital (HOSP-CHLD) Orthopedic Hospital (HOSP-ORTHO) Surgical Hospital (HOSP-SURG) Women's Hospital (HOSP-WOM) Women's and Children's Hospital-	teaching			
Oncology Hospital (HOSP-ONC)Image: Constraint of the second s	Facility type (based on NHSN enrollment):	0.2477	0.0799	0.0019
Facility type (based on NHSN enrollment): REFERENT - Children's Hospital (HOSP-CHLD) Military Hospital (HOSP-MIL) - Orthopedic Hospital (HOSP-ORTHO) - - Surgical Hospital (HOSP-SURG) - - Women's Hospital (HOSP-WOM) - -	General Acute Care Hospital (HOSP-GEN)			
Children's Hospital (HOSP-CHLD) Military Hospital (HOSP-MIL) Orthopedic Hospital (HOSP-ORTHO) Surgical Hospital (HOSP-SURG) Women's Hospital (HOSP-WOM) Women's and Children's Hospital	Oncology Hospital (HOSP-ONC)			
Military Hospital (HOSP-MIL) Orthopedic Hospital (HOSP-ORTHO) Surgical Hospital (HOSP-SURG) Women's Hospital (HOSP-WOM) Women's and Children's Hospital	Facility type (based on NHSN enrollment):	REFERENT	-	-
Orthopedic Hospital (HOSP-ORTHO) Surgical Hospital (HOSP-SURG) Women's Hospital (HOSP-WOM) Women's and Children's Hospital	Children's Hospital (HOSP-CHLD)			
Surgical Hospital (HOSP-SURG) Women's Hospital (HOSP-WOM) Women's and Children's Hospital	Military Hospital (HOSP-MIL)			
Women's Hospital (HOSP-WOM) Women's and Children's Hospital	Orthopedic Hospital (HOSP-ORTHO)			
Women's and Children's Hospital	Surgical Hospital (HOSP-SURG)			
	Women's Hospital (HOSP-WOM)			
(HOSP-WOMCHILD)	Women's and Children's Hospital			
	(HOSP-WOMCHILD)			

¹The outpatient community-onset (CO) prevalence rate combines MRSA bacteremia data from all EDs and/or 24-hour observation units into a single, de-duplicated prevalence rate. This rate is calculated as the # of unique community-onset MRSA blood events that occurred in an ED or 24-hour observation unit / total encounters * 100. (i.e.,

MRSA_EDOBSprevCount / numTotencounters * 100). The prevalence rate for the entire quarter is used in risk adjustment. If the facility does not have an ED or 24-hour observation location that meets the NHSN location definition and thus are not reporting MRSA bacteremia data from these locations, the number of predicted events will be risk adjusted using the referent level of this variable.

² Inpatient community-onset (CO) prevalence is calculated as the # of inpatient CO de-duplicated MRSA blood events, divided by total admissions x 100. (i.e., MRSA_admPrevBldCount / numadms * 100). The prevalence rate for the entire quarter is used in risk adjustment. Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category for values greater than 0.

³Total number of beds and medical school affiliation are reported on the <u>Annual Hospital Survey</u>. Average length of stay is calculated as: total # of annual patient days / total # of annual admissions, as reported on the <u>Annual Hospital Survey</u>. Proportion of beds that are ICU is calculated as: # of ICU beds / total # of beds, as reported on the <u>Annual Hospital Survey</u>.

CDI – Clostridioides difficile Laboratory-Identified Events

The number of predicted CDI LabID events is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). The CDI SIR for ACHs is only calculated on the facility-wide inpatient level and cannot be calculated for any individual location. *Note: IRF units within a hospital will receive a separate SIR*.

<u>Notes for Acute Care Hospitals</u>: Community-onset prevalence rates for each quarter of a calendar year, both inpatient and outpatient, as well as the CDI test method (reported on the FacWideIN MDRO denominator form on the third month of each quarter) are used to calculate the number of predicted events for the ACH CDI LabID SIR. This requires complete reporting of factors related to the community-onset prevalence and CDI test type for each calendar quarter before the CDI LabID Event SIR can be calculated.

Table 1. Summary of CDI LabID Event SIR Numerator Inclusion Criteria (ACHs)

Inclusion Criteria

- Inpatient specimen that is classified as "healthcare facility-onset" (HO). This means the specimen collection date is > 3 days after the patient's facility admission date, where the facility admission date is Day 1.
- Positive CDI LabID event is classified as "Incident" by NHSN, meaning that the event occurred > 56 days
 after the most recent CDI LabID Event for that patient that occurred in any inpatient location (including
 IRF/IPF units), emergency department, or 24-hour observation location. If a patient has two positive
 specimens that were collected on the same date, the algorithm will treat the first event entered into
 NHSN as the patient's first event. Note: the date of first specimen collection is considered Day 1.

The number of predicted events calculated under the 2022 baseline for CDI is risk adjusted based on the following variables found to be statistically significant predictors of CDI incidence:

Table 2. CDI LabID Event Risk Adjustment (ACHs)

Link to the exclusions table

Parameter	<u>Parameter</u> Estimate	<u>Standard</u> <u>Error</u>	P-value
Intercept	-10.1452	0.1063	<0.0001
Inpatient CO prevalence rate ¹ : ≥0.461 per 100 admissions	0.7994	0.0371	<0.0001
Inpatient CO prevalence rate ¹ : 0.301-0.460 per 100 admissions	0.6666	0.0349	< 0.0001
Inpatient CO prevalence rate ¹ : 0.213-0.300 per 100 admissions	0.5137	0.0346	<0.0001
Inpatient CO prevalence rate ¹ : 0.152-0.212 per 100 admissions	0.4296	0.0341	<0.0001
Inpatient CO prevalence rate ¹ : 0.104-0.151 per 100 admissions	0.3211	0.0340	<0.0001
Inpatient CO prevalence rate ¹ : 0.064-0.103 per 100 admissions	0.2443	0.0339	<0.0001
Inpatient CO prevalence rate ¹ : >0 or ≤0.063 per 100 admissions	0.1118	0.0338	0.0010
Inpatient CO prevalence rate ¹ : 0 per 100 admissions	REFERENT	-	-
CDI test type ² : EIA or Other	0.0960	0.0260	0.0002
CDI test type ² : NAAT	0.2868	0.0193	<0.0001
CDI test type ² : NAATEIA	REFERENT	-	-
Outpatient CO prevalence rate ³ : ≥0.048 per 100 admissions	0.2914	0.0224	<0.0001
Outpatient CO prevalence rate ³ : 0.025-0.047 per 100 admissions	0.2240	0.0215	<0.0001
Outpatient CO prevalence ³ : 0.012-0.024 per 100 admissions	0.1064	0.0221	<0.0001
Outpatient CO prevalence rate ³ : <0.012 per 100 admissions or no applicable locations	REFERENT	-	-

Facility type (based on NHSN enrollment):	1.6881	0.1326	<0.0001
Oncology Hospital (HOSP-ONC)			
Facility type (based on NHSN enrollment):	0.6225	0.0977	<0.0001
General Acute Care Hospital (HOSP-GEN)			
Children's Hospital (HOSP-CHLD)			
Military Hospital (HOSP-MIL)			
Facility type (based on NHSN enrollment):	REFERENT	-	-
Orthopedic Hospital (HOSP-ORTHO)			
Surgical Hospital (HOSP-SURG)			
Women's Hospital (HOSP-WOM)			
Women's and Children's Hospital (HOSP-WOMCHILD)			
Number of ICU beds⁴: ≥80	0.5765	0.0526	<0.0001
Number of ICU beds⁴: 46–79	0.3876	0.0525	<0.0001
Number of ICU beds⁴: 5–45	0.3330	0.0499	<0.0001
Number of ICU beds⁴: 0–4	REFERENT	-	-
Medical school affiliation ⁴ : Major/Graduate/Non-teaching	0.1677	0.0224	<0.0001
Medical school affiliation ⁴ : Undergraduate	REFERENT	-	-
Average length of stay ⁴ : ≥5.4 days	0.0895	0.0173	<0.0001
Average length of stay ⁴ : 1-5.3 days	REFERENT	-	-

¹Inpatient community-onset (CO) prevalence is calculated as the # of inpatient CO CDI de-duplicated events, divided by total admissions * 100 (i.e., cdif_admPrevCOCount_bs3 / numadms * 100). The prevalence rate for the entire quarter is used in risk adjustment. Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category for values greater than 0.

² CDI test method is reported on the FacWideIN MDRO/CDI denominator form on the 3rd month of each quarter. CDI test type is categorized as:

Enzyme immunoassay (EIA) for toxin or Other: This includes EIA for toxin, glutamate dehydrogenase (GDH) antigen plus EIA for toxin (GDH), toxigenic culture (ToxiCul), cell cytotoxicity neutralization assay (Cyto), and the selection of 'Other' (OTH).

<u>Nucleic acid amplification test (NAAT)</u>: This includes NAAT, GDH plus NAAT (GDHNAAT), and GDH plus EIA for toxin, followed by NAAT for discrepant results (GDHEIA).

NAAT plus EIA (NAATEIA): This includes NAAT plus EIA, if NAAT positive (2-step algorithm).

³Outpatient community-onset (CO) prevalence rate combines CDI data from all EDs and/or 24-hour observation units into a single, de-duplicated prevalence rate. This rate is calculated as the # of unique community-onset CDI events that occurred in an ED or 24-hour observation unit, divided by total encounters * 100 (i.e., cdif_EDOBSprevCount / numTotencounters * 100). The prevalence rate for the entire quarter is used in risk adjustment. <u>NOTE</u>: If the facility does not have an ED or 24-hour observation location that meets the NHSN location definition and thus are not reporting CDI event data from these locations, the number of predicted events will be risk adjusted using the referent level of this variable.

⁴ Number of ICU beds and medical school affiliation are reported on the <u>Annual Hospital Survey</u>. Average length of stay is calculated as: total # of annual patient days / total # of annual admissions, as reported on the <u>Annual Hospital Survey</u>.

SSI – Surgical Site Infections

The number of predicted SSI events is calculated using a logistic regression model (see <u>page 5</u> above for more information). The SSI SIR is calculated for facilities who enroll in NHSN as acute care hospitals or critical access hospitals. Under the 2022 SIR baseline, procedures and associated SSI events occurring in adult and pediatric patients are modeled separately. There will be three SSI SIR models available for inpatient adult procedures (and associated SSIs) and two models available for inpatient pediatric procedures (and associated SSIs). As of the time of this publication, only the adult inpatient Complex 30-Day model is finalized and available. The remaining SSI models will be available at a later date; more information is available in the <u>Rebaseline Progress Tracker</u>.

Please see *Table 1* below for a summary of the available SSI SIR models. Under the 2022 SIR baseline, procedures, regardless of closure methods, are included in the SIR calculation as long as the inclusion criteria listed below are met and none of the exclusion criteria apply.

Table 1. Sur	nmary	of SSI SIR Nu	merator Inclusi	on Criteria	(ACHs)
		1. A.A.			

Link to the exclusions tabl	<u>e</u>	
SSI SIR Model	Inclusion Criteria	Patient Population
Complex 30-Day	 Includes only in-plan, inpatient COLO and HYST procedures in adult patients (i.e., ≥ 18 years of age) Includes only Deep Incisional Primary SSIs and Organ/Space SSIs with an event date within 30 days of the procedure. Includes SSIs regardless of <u>detection method</u> 	Adult patients

Predictive Risk Factors by SSI Models

The number of predicted events calculated under the 2022 baseline for SSI is risk adjusted based on the following variables found to be statistically significant predictors of SSIs. The following tables list the factors included in each procedure-specific model for the SSI model outlined above.

Table 2a. Colon (COLO) Procedures, Complex 30-Day Model (ACHs)

Link to the exclusions table			
Parameter	Parameter	<u>Standard</u>	<u>P-value</u>
	<u>Estimate</u>	<u>Error</u>	
Intercept	-5.5134	0.1039	<0.0001
Scope: No	0.6402	0.0271	<0.0001
Scope: Yes	REFERENT	-	-
Procedure duration: 67-107 (Min)	0.2344	0.0521	< 0.0001
Procedure duration: 108-145 (Min)	0.3900	0.0515	< 0.0001
Procedure duration: 146-232 (Min)	0.5221	0.0490	<0.0001
Procedure duration: 233-296 (Min)	0.6950	0.0556	<0.0001
Procedure duration: ≥297 (Min)	0.8690	0.0541	<0.0001
Procedure duration: ≤66 (Min)	REFERENT	-	-
Trauma: Yes	0.3607	0.0520	< 0.0001
Trauma: No	REFERENT	-	-
ASA score: 3/4/5	0.3089	0.0281	<0.0001

			-
ASA score: 1/2	REFERENT	-	-
Patient's age: 18-39 years	0.5080	0.0532	< 0.0001
Patient's age: 40-62 years	0.3765	0.0443	<0.0001
Patient's age: 63-79 years	0.1970	0.0438	<0.0001
Patient's age: 80-109 years	REFERENT	-	-
Surgical wound class: Contaminated/Dirty	0.1707	0.0245	<0.0001
Surgical wound class: Clean-Contaminated	REFERENT	-	-
Total number of beds ¹ : ≥319 beds	0.0958	0.0248	0.0001
Total number of beds ¹ : <319 beds	REFERENT	-	-
Emergency: Yes	0.1097	0.0300	0.0003
Emergency: No	REFERENT	-	-
Medical School Affiliation ¹ : Major	0.0723	0.0259	0.0052
Medical School Affiliation ¹ :	REFERENT	-	-
Graduate/Undergraduate/None			
Sex: Male	0.1212	0.0231	<0.0001
Sex: Female	REFERENT	-	-
BMI: ≥40	0.1111	0.0427	0.0093
BMI: <40	REFERENT	-	-
Oncology Hospital ² : Yes	0.3658	0.0831	< 0.0001
Oncology Hospital ² : No	REFERENT	-	-
Anesthesia: Yes	0.1708	0.0823	0.0380
Anesthesia: No	REFERENT	-	-

¹Total number of beds and medical school affiliation are reported on the <u>Annual Hospital Survey</u>.

² Based on NHSN enrollment as HOSP-ONC.

Table 2b. Abdominal Hysterectomy (HYST) Procedures, Complex 30-Day Model (ACHs)

Link to the exclusions table

Parameter	<u>Parameter</u> <u>Estimate</u>	<u>Standard</u> <u>Error</u>	<u>P-value</u>
Intercept	-6.4524	0.1514	<0.0001
Procedure duration: 80-136 (Min)	0.4701	0.0755	<0.0001
Procedure duration: 137-188 (Min)	0.7385	0.0804	<0.0001
Procedure duration: ≥189 (Min)	0.9316	0.0794	<0.0001
Procedure duration: ≤79 (Min)	REFERENT	-	-
BMI: ≥30	0.2188	0.0476	<0.0001
BMI: <30	REFERENT	-	-
Patient's age: 18-47 years	0.6110	0.0569	<0.0001
Patient's age: 48-55 years	0.3018	0.0690	<0.0001
Patient's age: 56-109 years	REFERENT	-	-
ASA score: 2	0.3276	0.1300	0.0118
ASA score: 3/4/5	0.5465	0.1338	<0.0001
ASA score: 1	REFERENT	-	-

Scope: No	0.1667	0.0449	0.0002
Scope: Yes	REFERENT	-	-
Medical School Affiliation ¹ : Major	0.0934	0.0473	0.0483
Medical School Affiliation ¹ : Graduate/Undergraduate/None	REFERENT	-	-
Oncology Hospital ² : Yes	0.5740	0.1552	0.0002
Oncology Hospital ² : No	REFERENT	-	-
Diabetes: Yes	0.1466	0.0634	0.0208
Diabetes: No	REFERENT	-	-

¹ Medical school affiliation is reported on the <u>Annual Hospital Survey</u>.

² Based on NHSN enrollment as HOSP-ONC.

Procedure Duration Outliers (2022 Baseline)

The **IQR5**, also called the procedure duration cutoff point, is used as an indicator of an extreme outlier for procedure durations when calculating the SSI SIRs. The IQR5 is calculated as five times the interquartile range (Q1-Q3) above the 75th percentile. For example, if the interquartile range is 30 minutes, and the 75th percentile is 100 minutes, the IQR5 would be calculated as: 100 + (30*5) = 250 minutes. Procedures with a duration greater than the IQR5 were excluded from the baseline data and will be excluded from all SSI SIR calculations for your facility.

Table 3. IQR5 Values, in Minutes, for NHSN Operative Procedures

NHSN Operative Procedure	<u>IQR5 (in minutes)</u>	IQR5 (in hours and minutes)		
	Minutes	Hours	Minutes	
COLO	783	13	3	
HYST	608	10	8	

Risk Adjustment Factors Included in the SIR Calculation: Critical Access Hospitals (CAHs)

CLABSI – Central Line-Associated Bloodstream Infection and CAUTI – Catheter Associated Urinary Tract Infection

The number of predicted CLABSIs or CAUTIs is calculated using a negative binomial regression model (see <u>page 8</u> above for more information) and is risk adjusted based on the following variables found to be statistically significant predictors:

Table 1. CLABSI Risk Adjustment (CAHs)

Link to the exclusions table

	Parameter	Standard	
Parameter	<u>Estimate</u>	<u>Error</u>	<u>P-value</u>
Intercept	-9.029	0.2331	<.0001
Select Critical care (CC) locations ¹			
Medical Cardiac Critical Care - IN:ACUTE:CC:C			
Medical Critical Care - IN:ACUTE:CC:M			
Medical-Surgical Critical Care - IN:ACUTE:CC:MS	1.598	0.3826	<.0001
Non-Critical care locations ¹ and average length of stay ≥ 8.9 days ²	1.027	0.4244	0.0155
Non-Critical care locations ¹ and average length of stay 1-8.8 days ²	REFERENT	-	-

¹ Refer to the exclusions table, at the hyperlink above, for additional information regarding CAH CLABSI risk adjustment model locations.

² Average length of stay is calculated as: total # of annual patient days / total # of annual admissions, as reported on the <u>Annual Hospital Survey</u>.

Table 2. CAUTI Risk Adjustment (CAHs)

Link to the exclusions table

	Parameter	<u>Standard</u>	
Parameter	<u>Estimate</u>	<u>Error</u>	<u>P-value</u>
Intercept	-7.6495	0.1492	<0.0001
Average length of stay ¹ : ≥6.5 days	0.4257	0.1564	0.0065
Average length of stay ¹ : 1-6.4 days	REFERENT	-	-
Proportion of total beds that are ICU ² : <0.16	0.4189	0.1708	0.0142
Proportion of total beds that are ICU^2 : ≥ 0.16	REFERENT	-	-

¹ Average length of stay is calculated as: total # of annual patient days / total # of annual admissions, as reported on the <u>Annual Hospital Survey</u>.

² Proportion of beds that are ICU is calculated as: # of ICU beds / total # of beds, as reported on the <u>Annual Hospital Survey</u>.

MRSA – Methicillin-resistant *Staphylococcus aureus* Bacteremia Laboratory-Identified Events

The number of predicted MRSA bacteremia (blood specimen) LabID events is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). The MRSA bacteremia SIR for CAHs is only calculated on the facility-wide inpatient level and cannot be calculated for any individual location. *Note: IRF units within a hospital will receive a separate SIR*.

<u>Notes for Critical Access Hospitals</u>: Community-onset prevalence rates for each quarter of a calendar year, both inpatient and outpatient, are used to calculate the number of predicted events for the CAH MRSA Bacteremia LabID SIR. This requires complete reporting of factors related to the community-onset prevalence for each calendar quarter before the MRSA Bacteremia LabID Event SIR can be calculated.

Table 1. Summary of MRSA Bacteremia LabID Event SIR Numerator Inclusion Criteria (CAHs) Inclusion Criteria

- Inpatient MRSA blood specimen that is classified as "healthcare facility-onset" (HO). This means the specimen collection date is > 3 days after the patient's facility admission date, where the facility admission date is Day 1.
- The patient did not have any prior positive MRSA blood specimen LabID events in the previous 14 days in any inpatient location (including IRF/IPF units), emergency department, or 24-hour observation location. Specimen collection date is considered Day 1.
 - If a patient's second MRSA bacteremia event is on Day 14 or earlier (where the first specimen date is considered Day 1), the second event will not be counted in the SIR. This 14-day deduplication crosses calendar months.
 - If a patient has two positive specimens that were collected on the same date, the algorithm will treat the first event entered into NHSN as the patient's first event.

The number of predicted events calculated under the 2022 baseline for MRSA bacteremia is risk adjusted based on the following variables found to be statistically significant predictors of MRSA bacteremia incidence:

Table 2. MRSA Bacteremia Risk Adjustment (CAHs)

Link to exclusion table Parameter Parameter Standard P-value Estimate Error -11.3451 0.2029 < 0.0001 Intercept Outpatient CO prevalence rate¹: >0 per 100 encounters 0.2773 0.0003 0.9991 Outpatient CO prevalence rate¹: 0 per 100 encounters or no REFERENT _ applicable locations Inpatient CO prevalence rate²: >0 per 100 admissions 0.8824 0.3418 0.0098 Inpatient CO prevalence rate²: 0 per 100 admissions REFERENT

¹Outpatient community-onset (CO) prevalence rate combines MRSA bacteremia data from all emergency departments (EDs) and/or 24-hour observation units into a single, de-duplicated prevalence rate. This rate is calculated as the # of unique community-onset MRSA blood events that occurred in an ED or 24-hour observation unit / total encounters * 100. (i.e., MRSA_EDOBSprevCount / numTotencounters * 100). Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category for values greater than 0. If the facility does not have an ED or 24-hour observation location that meets the NHSN location definition and thus are not reporting MRSA bacteremia data from these locations, the number of predicted events will be risk adjusted using the referent level of this variable.

² Inpatient community-onset (CO) prevalence is calculated as the # of inpatient community-onset MRSA blood events, divided by total admissions x 100. (i.e., MRSA_admPrevBldCount / numadms * 100). The prevalence rate for the entire quarter is used in risk adjustment. Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category for values greater than 0.

CDI – Clostridioides difficile Laboratory-Identified Events

The number of predicted CDI LabID events is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). The CDI SIR for CAHs is only calculated on the facility-wide inpatient level and cannot be calculated for any individual location. *Note: IRF units within a hospital will receive a separate SIR*.

<u>Notes for Critical Access Hospitals</u>: Community-onset prevalence rates for each quarter of a calendar year, both inpatient and outpatient, as well as the CDI test method (reported on the FacWideIN MDRO denominator form on the third month of each quarter) are used to calculate the number of predicted events for the CAH CDI LabID SIR. This requires complete reporting of factors related to the community-onset prevalence and CDI test type for each calendar quarter before the CDI LabID Event SIR can be calculated.

Table 1. Summary of CDI LabID Event SIR Numerator Inclusion Criteria (CAHs)

Inclusion Criteria

- Inpatient specimen is classified as "healthcare facility-onset" (HO). This means the specimen collection date is > 3 days after the patient's facility admission date, where the facility admission date is Day 1.
- Positive CDI LabID event is classified as "Incident" by NHSN, meaning that the event occurred > 56 days
 after the most recent CDI LabID Event for that patient that occurred in any inpatient location (including
 IRF/IPF units), emergency department, or 24-hour observation location. If a patient has two positive
 specimens that were collected on the same date, the algorithm will treat the first event entered into
 NHSN as the patient's first event. Note: the date of first specimen collection is considered Day 1.

The number of predicted events calculated under the 2022 baseline for CDI is risk adjusted based on the following variables found to be statistically significant predictors of CDI LabID event incidence:

Table 2. CDI LabID Event Risk Adjustment (CAHs)

Link to exclusion table

Parameter	Standard	<u>P-value</u>
Estimate	Error	
-9.4938	0.1903	<0.0001
0.4943	0.0883	<0.0001
REFERENT	-	-
0.4895	0.0909	<0.0001
REFERENT	-	-
0.3893	0.0955	<0.0001
REFERENT	-	-
0.5290	0.1794	0.0032
REFERENT	-	-
0.2403	0.0909	0.0082
REFERENT	-	-
	Estimate -9.4938 0.4943 REFERENT 0.4895 REFERENT 0.3893 REFERENT 0.5290 REFERENT 0.2403	Estimate Error -9.4938 0.1903 0.4943 0.0883 REFERENT - 0.4895 0.0909 REFERENT - 0.3893 0.0955 REFERENT - 0.5290 0.1794 REFERENT - 0.2403 0.0909

¹ Outpatient community-onset (CO) prevalence rate combines CDI LabID data from all emergency departments (EDs) or 24hour observation units into a single, de-duplicated prevalence rate. This rate is calculated as the # of unique communityonset CDI events that occurred in an ED or 24-hour observation unit, divided by total encounters * 100 (i.e., cdif_EDOBSprevCount / numTotencounters * 100). Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category for values greater than 0. If the facility does not have an ED or 24hour observation location that meets the NHSN location definition and thus are not reporting CDI event data from these locations, the number of predicted events will be risk adjusted using the referent level of this variable.

² Inpatient community-onset (CO) prevalence is calculated as the # of inpatient CO CDI de-duplicated events, divided by total admissions * 100 (i.e., cdif_admPrevCOCount_bs3 / numadms * 100). The prevalence rate for the entire quarter is used in risk adjustment.

³ CDI test method is reported on the FacWideIN MDRO/CDI denominator form on the 3rd month of each quarter. CDI test type is categorized as:

<u>Nucleic acid amplification test (NAAT)</u>: This includes NAAT, glutamate dehydrogenase (GDH) plus NAAT (GDHNAAT), and GDH plus EIA for toxin, followed by NAAT for discrepant results (GDHEIA).

Enzyme immunoassay (EIA) for toxin or Other: This includes EIA for toxin, GDH antigen plus EIA for toxin (GDH), NAAT plus EIA, if NAAT positive (2-step algorithm) (NAATEIA), and all other CDI test methods, including the selection of "Other".

⁴ Average length of stay and total number of beds are taken from the <u>Annual Hospital Survey</u>. Average length of stay is calculated as: total # of annual patient days / total # of annual admissions.

SSIs – Surgical Site Infections

Because the SSI model details are the same for Acute Care Hospitals and Critical Access Hospitals, you can navigate to the previously described SSI model details <u>here</u>.

Risk Adjustment Factors Included in the SIR Calculation: Long-Term Acute Care Hospitals (LTACHs)

CLABSI – Central Line-Associated Bloodstream Infection

The number of predicted CLABSIs is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). Refer to Table 1 below for a list of location types included in the LTACH CLABSI SIR; if an inpatient location is not included in the model table below, then data from that location type will be excluded from the SIR due to insufficient 2022 baseline data.

The number of predicted CLABSIs calculated under the 2022 baseline is risk adjusted based on the following variables found to be statistically significant predictors:

Table 1. CLABSI Risk Adjustment (LTACHs)

Link to the exclusions table

Parameter	Parameter	Standard	P-value
	<u>Estimate</u>	<u>Error</u>	
Intercept	-8.8145	0.2095	<0.0001
Proportion of admissions on hemodialysis ¹ : ≥0.078	0.5353	0.1600	0.0008
Proportion of admissions on hemodialysis ¹ : <0.078	REFERENT	-	-
Proportion of admissions on a ventilator ¹ : ≥0.145	0.5795	0.1400	< 0.0001
Proportion of admissions on a ventilator ¹ : <0.145	REFERENT	-	-
Average length of stay ¹ : 27.6-31.6 days	0.4178	0.1591	0.0086
Average length of stay¹: ≥31.7 days	0.7592	0.1246	<0.0001
Average length of stay ¹ : 1-27.5 days	REFERENT	-	-
CDC Location: Long-Term Acute Care Ward- IN:ACUTE:WARD:LTAC,	REFERENT		
IN:ACUTE:WARD:LTAC_PED			
CDC Location: Long-Term Intensive Care Ward- IN:ACUTE:CC:LTAC	0.7032	0.1686	<0.0001
LTACH Setting Group 1 ² : LTACH setting with all other	0.4166	0.1762	0.0181
combinations not listed below, in the referent group.			
LTACH Setting Group 2 ² :	REFERENT	-	-
LTACH setting = "within a hospital" AND LTACH is located near, but not			
within, an acute care hospital or LTACH is in a building that provides			
acute care services.			
LTACH setting = "free standing" AND LTACH shares physical housing			
with one or more of the following: residential facility (assisted living),			
inpatient rehabilitation facility, neuro-behavioral unit or facility, or other			
specified unit or facility type that is not a skilled nursing facility			
(SNF)/nursing home.			

¹ Proportion of admissions on hemodialysis, proportion of admissions on a ventilator, and average length of stay are calculated using values reported on the <u>Annual LTACH Survey</u>. Average length of stay is calculated as: total # of annual patient days / total # of annual admissions.

² Variables from the <u>Annual LTACH Survey</u> that are included in deriving the LTAC Setting Group: ItacSetting, shareFacNo, shareFacSNF, acuteCareHospNear, and acuteCareHospNo. For information regarding the corresponding questions on the survey, see the <u>Table of Instructions for the Annual LTACH Survey</u>.

CAUTI – Catheter-Associated Urinary Tract Infection

The number of predicted CAUTIs is calculated using a negative binomial regression model (see <u>page 8</u> above for more information) and is risk adjusted based on the following variables found to be statistically significant predictors:

Table 1. CAUTI Risk Adjustment (LTACHs)

Link to exclusions table

Parameter	Parameter	<u>Standard</u>	P-value
	<u>Estimate</u>	<u>Error</u>	
Intercept	-7.8086	0.2067	<0.0001
Average length of stay ¹ : ≥22.2 and <27.7 days	0.5783	0.2094	0.0058
Average length of stay ¹ : ≥27.8 days	1.1001	0.1971	<0.0001
Average length of stay ¹ : ≥1 and <22.2 days	REFERENT	-	-
Proportion of total beds that are ICU ² : <0.103	0.3579	0.1097	0.0011
Proportion of total beds that are ICU ² : ≥0.103	REFERENT	-	-

¹ Average length of stay is calculated as: total # of annual patient days / total # of annual admissions, as reported on the <u>Annual LTACH Survey</u>.

² Proportion of beds that are ICU is calculated as: # of ICU beds / total # of beds, as reported on the <u>Annual LTACH Survey</u>.

MRSA – Methicillin-resistant *Staphylococcus aureus* Bacteremia Laboratory-Identified Events

The number of predicted MRSA bacteremia (blood specimen) LabID events is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). The MRSA bacteremia SIR for LTACHs is only calculated on the facility-wide inpatient level and cannot be calculated for any individual location. *Note: IRF units within a hospital will receive a separate SIR.*

Table 1. Summary of MRSA Bacteremia LabID Event SIR Numerator Inclusion Criteria (LTACHs) Inclusion Criteria

- Inpatient MRSA blood specimen that is classified as "healthcare facility-onset" (HO). This means the specimen collection date is > 3 days after the patient's facility admission date, where the facility admission date is Day 1.
- The patient did not have any prior positive MRSA blood specimen LabID events in the previous 14 days in any inpatient location (including IRF/IPF units), emergency department, or 24-hour observation location. Specimen collection date is considered Day 1.
 - If a patient's second MRSA bacteremia event is on Day 14 or earlier (where the first specimen date is considered Day 1), the second event will not be counted in the SIR. This 14-day deduplication crosses calendar months.
 - If a patient has two positive specimens that were collected on the same date, the algorithm will treat the first event entered into NHSN as the patient's first event.

The number of predicted events calculated under the 2022 baseline for MRSA bacteremia is risk adjusted based on the following variables found to be statistically significant predictors of MRSA bacteremia incidence:

Table 2. MRSA Bacteremia LabID Event Risk Adjustment (LTACHs)

Link to exclusions table

Parameter	<u>Parameter</u> <u>Estimate</u>	<u>Standard</u> <u>Error</u>	<u>P-value</u>
Intercept	-11.1269	0.3508	<0.0001
Proportion of admissions on hemodialysis ¹ : ≥0.218	1.9602	0.3352	<0.0001
Proportion of admissions on hemodialysis ¹ : 0.075-0.217	1.2618	0.3272	0.0001
Proportion of admissions on hemodialysis ¹ : 0-0.074	REFERENT	-	-
Average length of stay ¹ : ≥31.9 days	0.5414	0.1993	0.0066
Average length of stay ¹ : 1-31.8 days	REFERENT	-	-
Total number of beds ¹ : ≥69	0.4252	0.1599	0.0079
Total number of beds ¹ : 1–68	REFERENT	-	-

¹ Proportion of admissions on hemodialysis, total # of beds, and average length of stay are reported or calculated from values reported on the <u>Annual LTACH Survey</u>. Proportion of admissions on hemodialysis is calculated as: # admissions on hemodialysis / total # of annual admissions. Average length of stay is calculated as: total # of annual patient days / total # of annual admissions.

CDI – Clostridioides difficile Laboratory-Identified Events

The number of predicted CDI LabID events is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). The CDI SIR for LTACHs is only calculated on the facility-wide inpatient level and cannot be calculated for any individual location. *Note: IRF units within a hospital will receive a separate SIR*.

<u>Notes for Long-Term Acute Care Hospitals</u>: The inpatient community-onset prevalence rate for each quarter of a calendar year is used to calculate the number of predicted events for the LTAC CDI LabID SIR. The community-onset prevalence rate numerator and denominator, for the calendar quarter, must be reported to NHSN before the CDI LabID Event SIR can be calculated for that quarter.

Table 1. Summary of CDI LabID Event SIR Numerator Inclusion Criteria (LTACHs)

- Inclusion Criteria
- Inpatient specimen that is classified as "healthcare facility-onset" (HO). This means the specimen collection date is > 3 days after the patient's facility admission date, where the facility admission date is Day 1.
- Positive CDI LabID event is classified as "Incident" by NHSN, meaning that the event occurred > 56 days
 after the most recent CDI LabID Event for that patient that occurred in any inpatient location (including
 IRF/IPF units). If a patient has two positive specimens that were collected on the same date, the algorithm
 will treat the first event entered into NHSN as the patient's first event. Note: the date of first specimen
 collection is considered Day 1.

The number of predicted events calculated under the 2022 baseline for CDI is risk adjusted based on the following variables found to be statistically significant predictors of CDI incidence:

Table 2. CDI in Long-Term Acute Care Hospitals (LTACHs)

Link to exclusions table

Parameter	<u>Parameter</u>	Standard	<u>P-value</u>
	<u>Estimate</u>	<u>Error</u>	
Intercept	-9.6565	0.2476	<0.0001
Proportion of admissions on hemodialysis ¹ : >0	0.9840	0.2174	<0.0001
Proportion of admissions on hemodialysis ¹ : 0	REFERENT	-	-
Inpatient CO prevalence rate ² : >0 per 100 admissions	0.4575	0.1121	<0.0001
Inpatient CO prevalence rate ² : 0 per 100 admissions	REFERENT	-	-
Average length of stay ¹ : 1-25.2 days	0.4027	0.1157	0.0005
Average length of stay ¹ : ≥29.4 days	0.2451	0.0982	0.0126
Average length of stay ¹ : 25.3-29.3 days	REFERENT	-	-
Proportion of beds that are high observation ¹ : <0.238	0.3083	0.0979	0.0016
Proportion of beds that are high observation ¹ : ≥0.238	REFERENT	-	-
Proportion of admissions on a ventilator ¹ : ≥0.141	0.2363	0.0915	0.0099
Proportion of admissions on a ventilator ¹ : <0.141	REFERENT	-	-
Number of ICU beds ¹ : >0 beds	0.1707	0.0772	0.0271
Number of ICU beds ¹ : 0 beds	REFERENT	-	-

¹ Proportion of admissions on hemodialysis, average length of stay, proportion of beds that are high observation, proportion of admissions on a ventilator, and number of ICU beds are reported or calculated from values reported on the <u>Annual LTACH Survey</u>. Average length of stay is calculated as: total # of annual patient days / total # of annual admissions. Proportion of admissions on hemodialysis is calculated as: # admissions on hemodialysis / total # of annual admissions. Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category

for values greater than 0. Proportion of beds that are high observation is calculated as: # high observation, special care, or high acuity beds / total # of beds.

² Inpatient community-onset (CO) prevalence is calculated as the # of inpatient CO CDI de-duplicated events, divided by total admissions * 100 (i.e., cdif_admPrevCOCount_bs3 / numadms * 100). The prevalence rate for the entire quarter is used in risk adjustment. Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category for values greater than 0.

Risk Adjustment Factors Included in the SIR Calculation: Inpatient Rehabilitation Facilities (IRFs)

Refer to Table 4 in <u>Section 1</u> of this document for the definition of IRFs used for NHSN purposes.

CLABSI – Central Line-Associated Bloodstream Infection and CAUTI – Catheter-Associated Urinary Tract Infection

The number of predicted CLABSIs or CAUTIs is calculated using a negative binomial regression model (see <u>page 8</u> above for more information) and is risk adjusted based on the following variables found to be statistically significant predictors:

Table 1. CLABSI Risk Adjustment (IRFs)

Link to exclusions table			
Parameter	Parameter	<u>Standard</u>	P-value
	Estimate	<u>Error</u>	
Intercept	-7.9053	0.1342	<0.0001
Proportion of admissions with orthopedic conditions ¹ : <0.070	0.6922	0.2227	0.0019
Proportion of admissions with orthopedic conditions ¹ : ≥0.070	REFERENT	-	-
Proportion of admissions on a ventilator ¹ : >0	0.8177	0.2415	0.0007
Proportion of admissions on a ventilator ¹ : =0	REFERENT	-	-
Proportion of admissions with stroke ¹ : <0.215	-0.3735	0.1903	0.0497
Proportion of admissions with stroke ¹ : ≥0.330	-0.6406	0.2926	0.0286
Proportion of admissions with stroke ¹ : 0.215-0.329	REFERENT	-	-

¹ Proportion of annual admissions with primary diagnoses are taken from the <u>Annual IRF Survey</u> and are calculated as: # of admissions with the primary diagnosis (other neurologic conditions, stroke, non-traumatic spinal cord dysfunction, or brain dysfunction) / total # of annual admissions.

Table 2. CAUTI Risk Adjustment (IRFs)

Link to exclusions table

Parameter	<u>Parameter</u> <u>Estimate</u>	<u>Standard</u> <u>Error</u>	<u>P-value</u>
Intercept	-7.0380	0.0803	<0.0001
Proportion of admissions with other neurologic conditions ¹ : <0.150	0.3583	0.0935	0.0001
Proportion of admissions with other neurologic conditions ¹ : ≥0.150	REFERENT	-	-
Facility type (based on NHSN enrollment) ² :Critical Access Hospital (HOSP-CAH)Children's Hospital (HOSP-CHLD)General Hospital (HOSP-GEN)Orthopedic Hospital (HOSP-ORTHO)Surgical Hospital (HOSP-SURG)	0.4051	0.0747	<0.0001
Facility type (based on NHSN enrollment) ² : Long-Term Acute Care Hospital (HOSP-LTAC) Inpatient Rehabilitation Facility (HOSP-REHAB)	REFERENT	-	-

Section 3: Risk Adjustment Factors Inpatient Rehabilitation Facilities

Proportion of admissions with stroke ¹ : ≥0.250	0.2143	0.0780	0.0060
Proportion of admissions with stroke ¹ : <0.250	REFERENT	-	-
Proportion of admissions with non-traumatic spinal cord	0.3165	0.0802	<0.0001
dysfunction ¹ : ≥0.064			
Proportion of admissions with non-traumatic spinal cord	REFERENT	-	-
dysfunction ¹ : <0.064			
Proportion of admissions with brain dysfunction (non-traumatic	0.2074	0.0721	0.0040
or traumatic) ¹ : ≥0.123			
Proportion of admissions with brain dysfunction (non-traumatic	REFERENT	-	-
or traumatic) ¹ : <0.123			

¹ Proportion of annual admissions with primary diagnoses are taken from the <u>Annual IRF Survey</u> and are calculated as: # of admissions with the primary diagnosis (other neurologic conditions, stroke, non-traumatic spinal cord dysfunction, or brain dysfunction) / total # of annual admissions.

² Indicative of an IRF unit within a non-rehab hospital, unless facility type is listed as HOSP-REHAB

Section 3: Risk Adjustment Factors Inpatient Rehabilitation Facilities

MRSA – Methicillin-resistant *Staphylococcus aureus* Bacteremia Laboratory-Identified Events

The number of predicted MRSA bacteremia LabID events is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). The IRF MRSA bacteremia SIR is calculated for:

- Free-standing Rehabilitation Hospitals: SIR is available at the facility-wide inpatient (FacWideIN) level and cannot be calculated for any individual location
- IRF Units: SIR is available as a combined SIR for all applicable IRF locations.

Table 1. Summary of MRSA Bacteremia LabID Event SIR Numerator Inclusion Criteria (IRFs)

Link to the exclusions table

 Specimen is classified as "healthcare facility-onset" (HO). This means the specimen collection date is > 3 days after the patient's facility admission date, where the facility admission date is Day 1. The patient did not have any prior positive MRSA blood specimen LabID events in the previous 14 days in any inpatient location (including IPF units). Specimen collection date is considered Day 1. If a patient's second MRSA bacteremia event is on Day 14 or earlier (where the first specimen date is considered Day 1), the second event
 will not be counted in the SIR. This 14-day deduplication crosses calendar months. If a patient has two positive specimens that were collected on the same date, the algorithm will treat the first event entered into NHSN as the patient's first event.
 The specimen collection date is > 3 days after the IRF unit admission date, where the IRF unit admission date is considered Day 1. The patient did not have any prior positive MRSA blood specimen LabID events in the previous 14 days in any IRF unit. Specimen collection date is Day 1. If a patient's second MRSA bacteremia event in the IRF unit is on Day 14 or earlier (where the first specimen date in the IRF unit is considered Day 1), the second event will not be counted in the IRF SIR.

¹Refer to Table 4 in <u>Section 1</u> of this document for the definition of IRFs used for NHSN purposes.

The number of predicted events calculated under the 2022 baseline for MRSA bacteremia is risk adjusted based on the following variables found to be statistically significant predictors of MRSA bacteremia incidence:

Table 2. MRSA Bacteremia Risk Adjustment (IRFs)

Link to exclusions table

Parameter	Parameter	<u>Standard</u>	<u>P-value</u>
	<u>Estimate</u>	<u>Error</u>	
Intercept	-12.4554	0.3957	<0.0001
IRF Unit ¹	0.9396	0.2480	0.0002
Free-standing Rehabilitation Hospital ¹	REFERENT	-	-
Average length of stay ² : ≥12.1 days	0.8929	0.3561	0.0122
Average length of stay ² : 1-12.0 days	REFERENT	-	-

¹Refer to Table 4 in <u>Section 1</u> of this document for the definition of IRFs used for NHSN purposes.

² Average length of stay is calculated as: total # of annual patient days / total # of annual admissions, as reported on the <u>Annual IRF Survey</u>.

CDI – *Clostridioides difficile* Laboratory-Identified Events

The number of predicted CDI LabID events is calculated using a negative binomial regression model (see <u>page 8</u> above for more information). The IRF CDI LabID event SIR is calculated for:

- Free-standing Rehabilitation Hospitals: SIR is available at the facility-wide inpatient (FacWideIN) level and cannot be calculated for any individual location
- IRF Units: SIR is available as a combined SIR for all applicable IRF locations.

Type of IRF ¹	Inclusion Criteria
Free-standing Rehabilitation Hospital	 Specimen is classified as "healthcare facility-onset" (HO). This means the specimen collection date is > 3 days after the patient's facility admission date, where the facility admission date is Day 1. Positive CDI LabID event is classified as "Incident" by NHSN, meaning that the event occurred > 56 days after the most recent CDI LabID Event for that patient that occurred in any inpatient location (including IPF units). If a patient has two positive specimens that were collected on the same date, the algorithm will treat the first event entered into NHSN as the patient's first event.
IRF Units	 The specimen collection date is > 3 days after the IRF unit admission date, where the IRF unit admission date is considered Day 1. The patient did not have any prior positive CDI LabID events in the previous 14 days in any IRF unit. Specimen collection date is considered Day 1. If a patient's second CDI LabID event in the IRF unit is on Day 14 or earlier (where the first specimen date in the IRF unit is considered Day 1), the second event will not be counted in the IRF SIR.

Table 1. Summary of CDI LabID Event SIR Numerator Inclusion Criteria (IRFs)

¹Refer to Table 4 in <u>Section 1</u> of this document for the definition of IRFs used for NHSN purposes.

The number of predicted events calculated under the 2022 baseline for CDI is risk adjusted based on the following variables found to be statistically significant predictors of CDI incidence:

Table 2. CDI LabID Event Risk Adjustment (IRFs)

Link to exclusions table

Parameter	<u>Parameter</u> <u>Estimate</u>	<u>Standard</u> <u>Error</u>	<u>P-value</u>
Intercept	-9.4497	0.1627	<0.0001
Free-standing HOSP-REHAB with inpatient CO prevalence rate ¹ >0 per 100 admissions	0.3824	0.1100	0.0005
Free-standing HOSP-REHAB with inpatient CO prevalence rate ¹ = 0 per 100 admissions <i>OR</i> IRF Unit within a hospital	REFERENT	-	-
CDI test type ² : NAAT or Other	0.2352	0.0578	<0.0001
CDI test type ² : EIA	REFERENT	-	-
Proportion of admissions with stroke ³ : <0.185	0.3108	0.0973	0.0014
Proportion of admissions with stroke ³ : 0.185-0.320	0.1862	0.0908	0.0402
Proportion of admissions with stroke ³ : ≥0.321	REFERENT	-	-

Section 3: Risk Adjustment Factors Inpatient Rehabilitation Facilities

Proportion of admissions with orthopedic conditions ³ :	0.3103	0.0691	<0.0001
<0.110			
Proportion of admissions with orthopedic conditions ³ :	REFERENT	-	-
≥0.110			
Average daily census ³ : ≥51.3 patients	0.2127	0.0657	0.0012
Average daily census ² : <51.3 patients	REFERENT	-	-
Proportion of admissions with non-traumatic spinal cord	0.2822	0.1323	0.0330
dysfunction ³ : ≥0.012			
Proportion of admissions with non-traumatic spinal cord	REFERENT	-	-
dysfunction ³ : <0.012			
Proportion of admissions with traumatic spinal cord dysfunction ³ :	0.1660	0.0640	0.0095
<0.016			
Proportion of admissions with traumatic spinal cord dysfunction ³ :	REFERENT	-	-
≥0.016			

¹Inpatient community-onset (CO) prevalence rate is calculated as the # inpatient CO de-duplicated CDI events, divided by total admissions * 100 (CDIF_admPrevCOCount_bs3/numadms * 100). The prevalence rate for the entire quarter is used in risk adjustment. Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category for values greater than 0.

² CDI test method is reported on the FacWideIN (HOSP-REHAB) or IRF unit MDRO/CDI denominator form on the 3rd month of each quarter. CDI test type is categorized as:

<u>Nucleic acid amplification test (NAAT) or Other</u>: This includes NAAT, GDH plus NAAT (GDHNAAT), GDH plus EIA for toxin, followed by NAAT for discrepant results (GDHEIA), toxigenic culture (ToxiCul), cell cytotoxicity neutralization assay (Cyto), and the selection of 'Other' (OTH).

Enzyme immunoassay (EIA) for toxin: This includes EIA for toxin, glutamate dehydrogenase (GDH) antigen plus EIA for toxin, and NAAT plus EIA, if NAAT positive (2-step algorithm) (NAATEIA).

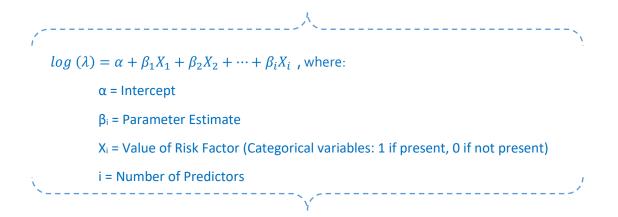
³ Average daily census and proportion of admissions with primary diagnoses are reported or calculated from values reported on the <u>Annual IRF Survey</u>. Proportion of admissions with primary diagnosis is calculated as the # of admissions with the primary diagnosis / total # of annual admissions.

Appendix

Additional Modeling Example

Negative Binomial Regression Model

Negative binomial regression models are used to calculate the number of predicted events for CLABSI, mucosal barrier injury laboratory-confirmed bloodstream infection (MBI-LCBI), CAUTI, methicillin-resistant *Staphylococcus aureus* (MRSA) bacteremia laboratory-identified (LabID) events, and *Clostridioides difficile* (CDI) LabID events. Below is a general formula for a negative binomial regression model.



As an example, *Table 1* below represents the negative binomial regression model used to calculate the number of predicted healthcare facility-onset (HO) MRSA bacteremia LabID events in critical access hospitals (CAHs) under the 2022 baseline.

<u>Factor</u>	Parameter Estimate	<u>P-Value</u>	<u>Variable Coding,</u> <u>based on Specific</u> <u>Example Below</u>
Intercept	-11.3451	<0.0001	
Outpatient CO prevalence rate ¹ : > 0 per	0.9991	0.0003	1
100 encounters			
Outpatient CO prevalence rate ¹ : 0 per 100	REFERENT	-	0
encounters or no applicable locations			
Inpatient CO prevalence rate ² : > 0 per 100	0.8824	0.0098	1
admissions			
Inpatient CO prevalence rate ² : 0 per 100	REFERENT	-	0
admissions			

Table 1. Risk Factors Used in the Critical Access Hospital MRSA Bacteremia LabID Event Model

¹Outpatient community-onset (CO) prevalence rate combines MRSA bacteremia data from all emergency departments (EDs) and/or 24-hour observation units into a single, de-duplicated prevalence rate. This rate is calculated as the # of unique community-onset MRSA blood events that occurred in an ED or 24-hour observation unit / total encounters * 100. (i.e., MRSA_EDOBSprevCount / numTotencounters * 100). Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter category for values greater than 0. If the facility does not have an ED or 24-hour observation location that meets the NHSN location definition and thus are not reporting MRSA bacteremia data from these locations, the number of predicted events will be risk adjusted using the referent level of this variable. ² Inpatient community-onset (CO) prevalence is calculated as the # of inpatient community-onset MRSA blood events, divided by total admissions x 100. (i.e., MRSA_admPrevBldCount / numadms * 100). The prevalence rate for the entire quarter is used in risk adjustment. Unrounded values truly greater than 0 are receiving the correct risk adjustment using the appropriate parameter.

The SIR for MRSA bacteremia LabID events is calculated on the facility-wide inpatient (FacWideIN) level for each quarter. More information on the details of the LabID Event SIR calculations can be found in <u>Section 3</u> of this SIR Guide. We can input the model details from *Table 3* into the general negative binomial regression formula:

predicted MRSA bacteremia =

Exp [-11.3451 + 0.9991(Outpatient CO prev.rate > 0)
+ 0.8824 (Inpatient CO prev.rate > 0)] x MRSA bacteremia patient days

For the variables shown in parentheses in the equation above, the variables in blue font would be replaced (and therefore, multiply each parameter estimate) with a "1" or "0" depending on whether that factor is present in the facility (Yes= "1", No= "0"). Then the products of the parameter estimates are summed, and the exponent is taken of that sum. The last step in the equation is to multiply the resulting value by the appropriate HAI denominator (i.e., patient days for MRSA bacteremia/CDI events, or device days for CLABSI/MBI/CAUTI). In this example, we multiply by MRSA bacteremia patient days.

Note: in NHSN, "MRSA bacteremia patient days" refers to the patient days entered on Line 2 of the FacWideIN monthly denominator forms (seen below), for an entire quarter. This value represents that total number of patient days from all inpatient units within the facility, with the exception of NICUs, well-baby units, and inpatient rehabilitation (IRF) and inpatient psychiatric (IPF) units.

General
Line 1: Setting: Inpatient Total Facility Patient Days *: Total Facility Admissions *:
Line 2: If your facility has a CMS-certified rehab unit (IRF) or CMS-certified psych unit (IPF), please subtract these counts from "Total Facility Patient Days" and "Total Facility Admissions" (Line 1). If you do not have these units, enter the same values you entered on Line 1. Counts= [Total Facility - (IRF + IPF)]
Patient Days *: Admissions *:
Line 3: If your facility has a CMS-certified IRF, CMS-certified IPF, NICU, or Well Baby Unit, please subtract those counts from "Total Facility Patient Days" and "Total Facility Admissions" (Line 1). If you do not have these units, enter the same values you entered on Line 1.
Counts= [Total Facility - (IRF + IPF + NICU + Well Baby Unit)] CDI SIR Calculation
Patient Days *:

Let's walk through an example of calculating the number of predicted MRSA bacteremia events for a CAH. The facility in our example has reported 2,000 MRSA bacteremia patient days and 1 healthcare facility-onset MRSA bacteremia LabID event for the quarter of interest. After running the MRSA bacteremia rate tables in NHSN, the

facility records that their Outpatient CO admission prevalence rate was 1.25 per 100 encounters (which is categorized as >0 in the model parameters) and their inpatient CO prevalence rate was 1.4 per 100 admissions (also categorized is > 0 in model parameters). The facility has an Emergency Department and is thus reporting MRSA bacteremia data from this location per NHSN protocol.

In our example hospital, the completed formula looks like this:

Exp [-11.3451 + 0.9991(1) + 0.8824(1)]x 2,000 patient days

= 0.1552 predicted MRSA bacteremia LabID events

Based on the negative binomial regression model, 0.338 MRSA bacteremia were predicted to have occurred in this medical ward. Since the number of predicted MRSA bacteremia events is less than 1, an SIR will not be calculated. Facilities have a few options, outlined <u>here</u>, for reviewing and interpreting HAI data when an SIR cannot be calculated.

Additional Resources

> Information about Transitioning to the 2022 National Baseline:

2022 NHSN HAI Rebaseline homepage: https://www.cdc.gov/nhsn/2022rebaseline/

2022 Rebaseline Analysis and Training: https://www.cdc.gov/nhsn/2022rebaseline/analysis-resources.html

Rebaseline Implementation Guide and Change Log: https://www.cdc.gov/nhsn/pdfs/rebaseline/Implementation-Guide-Change-Log.pdf

2022 HAI Rebaseline Progress Tracker: https://www.cdc.gov/nhsn/2022rebaseline/progress-tracker.html

Information about the 2015 National Baseline:

NHSN's Guide to the SIR (2015 Baseline): <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/nhsn-sir-guide.pdf</u>

Excel workbook of NHSN's SSI SIR models, including risk factors used in the SIR calculations (2015 Baseline): https://www.cdc.gov/nhsn/ps-analysis-resources/sirguide-ssimodels-508.xlsx

NHSN's Guide to the SUR (2015 Baseline): <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/nhsn-sur-guide-508.pdf</u>

Troubleshooting CLABSI and CAUTI SIRs (2015 Baseline): https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/clabsicauti_sirtroubleshooting.pdf

Troubleshooting SSI SIRs (2015 Baseline): <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/ssi-sir-troubleshoot-508.pdf</u>

Troubleshooting MRSA and CDI LabID Event SIRs (2015 Baseline): https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/mrsacdi_tips.pdf

> Information about the Original National Baselines:

CLABSI (original baseline: 2006-2008): <u>https://www.cdc.gov/nhsn/PDFs/dataStat/2009NHSNReport.pdf</u>

CAUTI (original baseline: 2009): https://www.cdc.gov/nhsn/pdfs/datastat/2010NHSNReport.pdf

MRSA bacteremia and CDI LabID event (original baseline: 2010-2011): https://www.cdc.gov/nhsn/pdfs/mrsa-cdi/riskadjustment-mrsa-cdi.pdf

December 2010 Special Edition NHSN Newsletter - Introduction to SIR (original baseline): <u>https://www.cdc.gov/nhsn/pdfs/newsletters/nhsn_nl_oct_2010se_final.pdf</u>

CLABSI/CAUTI in LTACHs, and CAUTI in IRFs (original baseline = 2013): https://www.cdc.gov/nhsn/xls/reportdatatables/nhsn-2013-report.xlsx

> NHSN Analysis Trainings & Other Resources:

Targeted Assessment for Prevention (TAP) Strategy: <u>https://www.cdc.gov/healthcare-associated-infections/php/toolkit/tap-strategy.html</u>

Targeted Assessment for Prevention (TAP) Reports: <u>https://www.cdc.gov/nhsn/ps-analysis-resources/reference-guides.html</u>

Analysis Resources and Trainings: https://www.cdc.gov/nhsn/ps-analysis-resources/index.html

Quick Reference Guides: How to run and interpret NHSN reports (including SIR and TAP reports): <u>https://www.cdc.gov/nhsn/ps-analysis-resources/reference-guides.html</u>

NHSN Annual Hospital Survey: <u>https://www.cdc.gov/nhsn/forms/57.103_pshospsurv_blank.pdf</u>

Instructions for NHSN Annual Hospital Survey: <u>https://www.cdc.gov/nhsn/forms/instr/57_103-toi.pdf</u>

NHSN Annual LTACH Survey: https://www.cdc.gov/nhsn/forms/57.150_ltacfacsurv_blank.pdf

• Instructions for NHSN Annual LTACH Survey: https://www.cdc.gov/nhsn/forms/instr/toi-57.150-ltac.pdf

NHSN Annual IRF Survey: https://www.cdc.gov/nhsn/forms/57.151_rehabfacsurv_blank.pdf

Instructions for NHSN Annual IRF Survey: https://www.cdc.gov/nhsn/forms/instr/toi-57.151-irf.pdf

NHSN Location Mapping: https://www.cdc.gov/nhsn/pdfs/pscmanual/15locationsdescriptions_current.pdf

Keys to Success with NHSN Data: https://www.cdc.gov/nhsn/ps-analysis-resources/keys-to-success.html

Appendix

Glossary

Acronym or	Definition
Abbreviation	
ACH	Acute Care Hospital
ASA Score	Assessment by the anesthesiologist of the patient's preoperative
	physical condition using the American Society of Anesthesiologists'
	(ASA) Physical Status Classification System. Patients are assigned an
	ASA score of 1-6 at time of surgery. More information available in
	NHSN's <u>SSI Protocol</u> .
ASC	Ambulatory Surgery Center
BMI	Body Mass Index
BSI	Bloodstream Infection
CAUTI	Catheter-Associated Urinary Tract Infection
CAH	Critical Access Hospital
CAD	Cumulative Attributable Difference
CCN	CMS Certification Number
CMS	Centers for Medicare & Medicaid Services
CDC	Centers for Disease Control and Prevention
CDI	Clostridioides difficile Infection
CLABSI	Central Line-Associated Bloodstream Infection
СО	Community-onset
COLO	Colon Surgery
EB	Epidermolysis Bullosa
ECMO	Extracorporeal Life Support
EIA	Enzyme Immunoassay
FacwideIN	Facility-Wide Inpatient
HAI	Healthcare-associated Infection
НО	Healthcare Facility-onset
HYST	Abdominal Hysterectomy
ICU (or CC)	Intensive Care Unit (or Critical Care)
IPF Unit	CMS-certified Inpatient Psychiatric Unit
IQR5	Five times the Interquartile Range
IRF	Inpatient Rehabilitation Facility
LabID	Laboratory-Identified
LTACH	Long-Term Acute Care Hospital
MBI-LCBI	Mucosal Barrier Injury-Laboratory Confirmed Bloodstream Infection
MRSA	Methicillin-resistant Staphylococcus aureus
MSBP	Munchausen Syndrome by Proxy
NAAT	Nucleic Acid Amplification Test
NAATEIA	Nucleic Acid Amplification Test Plus Enzyme Immunoassay
NHSN	National Healthcare Safety Network

Appendix

NICU	Neonatal Intensive Care Unit
PATOS	Present at Time of Surgery
SCA	Specialty Care Areas
SIR	Standardized Infection Ratio
SMR	Standard Mortality Ratio
SSI	Surgical Site Infection
ТАР	Targeted Assessment for Prevention
VAD	Ventricular Assist Device